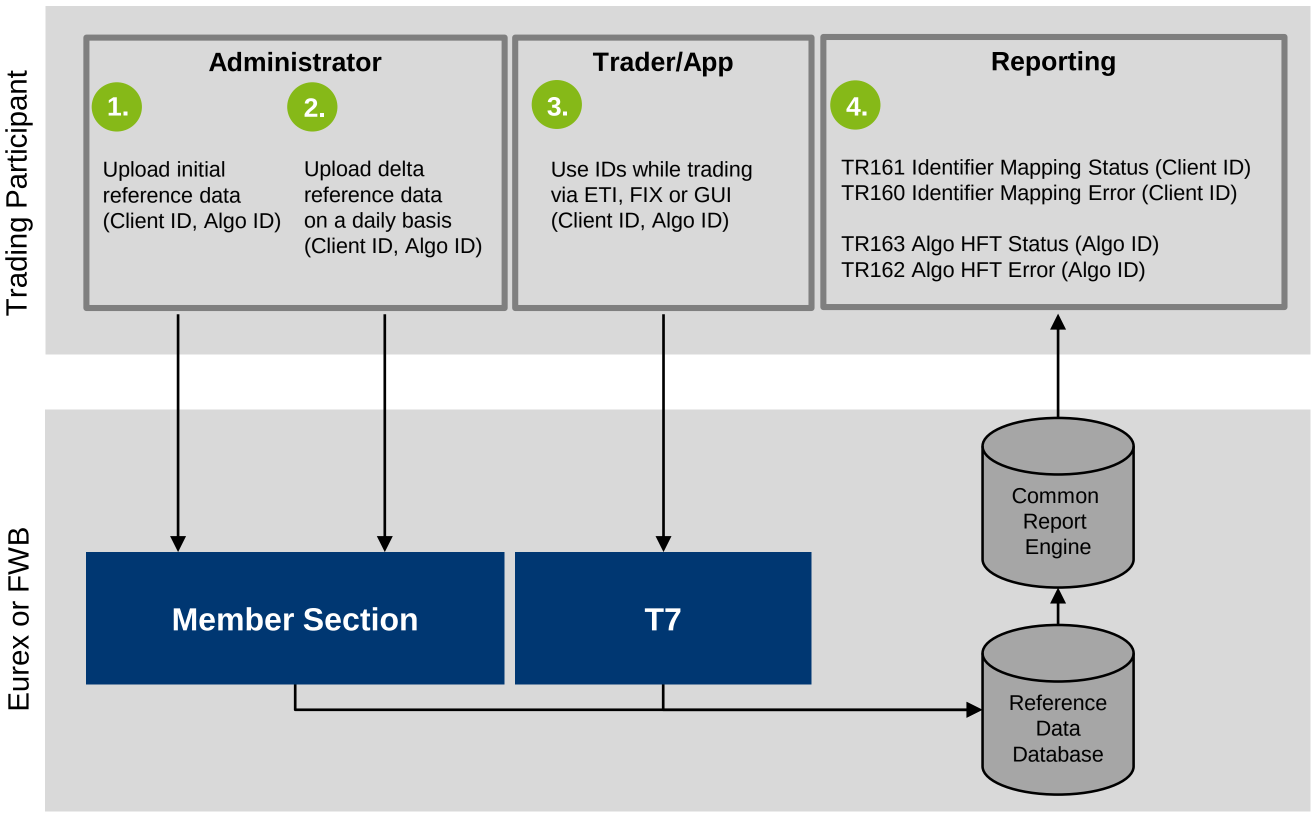
Processing of Reference Data by Trading Participants



Recommendations for implementation

1. For reference purposes, please make use of the sample files provided on the Eurex and Xetra websites and have a look at the corresponding handbook. Please also use the corresponding circular 040/17 (MiFID II/MiFIR: Participant reference data and enhancement of order records) and the ETI and FIX manuals and specs

2. The upload functionality for simulation has been available since 18 Sep 2017. The upload functionality for production will be available from 4 Dec 2017

   - Please upload only delta files on a daily basis

3. Please make sure that the applications used for trading are in sync with the reference data uploaded

   - Please note, that with go-live of T7 6.0, the Client ID needs to be flagged in case of trading on an A account. You can use a) dummy IDs until MiFID II is applied or b) directly apply real data

4. Please make sure that responsibilities and processes are setup to verify the identifier mapping reports

   - IDs that have been used for trading, but have not been uploaded, should be uploaded immediately once detected