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APPENDICES 1, 8 AND 9 WILL BE AMENDED.

AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED.

DELETIONS ARE CROSSED OUT.

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Appendix 1 to the Clearing Conditions:

Clearing Agreement

between Eurex Clearing AG and a Clearing Member

[...]

Part 1 General Provisions

[...]

3 Provision of Margin in the Form of Securities

3.1 Pledge with respect to Own Transactions and Elementary Omnibus Transactions

In case the Value Based Allocation is the Applicable Allocation Method, the following applies:

In order to provide Margin in accordance with Chapter I Part 1 Number 3 and Part 2 Number 6 of the Clearing Conditions, the Clearing Member hereby pledges to Eurex Clearing AG all securities which are at present or will in the future be deposited in the pledged securities account (such account as separately identified for such purpose between Eurex Clearing AG and the Clearing Member) maintained exclusively for Eurex Clearing AG by the Clearing Member or maintained by Eurex Clearing AG with a securities depository bank which is recognised by Eurex Clearing AG or at a Custodian or Central Securities Depository (“**CSD**”), in case of a pledged securities account of the Clearing Member or Eurex Clearing AG with Clearstream Banking S.A. Number 3.3 shall apply. For the purpose of such pledge, the Clearing Member hereby assigns its rights to claim these securities from such CSD to Eurex Clearing AG. As regards to the pledge of Swiss intermediated securities an additional control agreement between the Clearing Member, SIX SIS AG and Eurex Clearing AG will be concluded. The Clearing Member shall promptly notify the CSD of the conclusion of this agreement to pledge securities. The Clearing Member represents and warrants by way of an independent guarantee and irrespective of fault (*selbständiges, verschuldensunabhängiges Garantieverprechen*) to

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Eurex Clearing AG that it is the owner of the pledged securities or otherwise entitled or authorised to pledge the securities to Eurex Clearing AG and that such securities are not subject to any prior or equal claims of third parties, except for any rights and claims arising pursuant to the standard business terms of any CSD or as a matter of law. The Clearing Member shall not, for the duration of such pledge, permit any such claims to arise without the prior consent of Eurex Clearing AG.

Upon maturity of the pledge (*Pfandreife*), Eurex Clearing AG may sell the pledged securities without prior notice in a private sale or may appropriate such securities in whole or in part. The appropriation right expires upon it being exercised by Eurex Clearing AG or upon sale of the pledged securities.

Chapter I Part 2 Number 6.6.3 of the Clearing Conditions shall remain unaffected.

In case the Asset Based Allocation is the Applicable Allocation Method, the following applies:

In order to provide Elementary Proprietary Margin in accordance with Chapter I Part 1 Number 3 and Part 2 Number 6 of the Clearing Conditions, the Clearing Member hereby pledges to Eurex Clearing AG all securities which are at present or will in the future be deposited in the pledged securities account (such account as separately identified for such purpose between Eurex Clearing AG and the Clearing Member) maintained exclusively for Eurex Clearing AG by the Clearing Member or maintained by Eurex Clearing AG with a securities depository bank which is recognised by Eurex Clearing AG or at a Custodian or CSD. If the Clearing Member or Eurex Clearing AG opens a pledged securities account with Clearstream Banking S.A., Number 3.3 shall apply.

In order to provide Elementary Omnibus Margin in accordance with Chapter I Part 1 Number 3 and Part 2 Number 6 of the Clearing Conditions, the Clearing Member hereby pledges to Eurex Clearing AG all securities which are at present or will in the future be deposited in the pledged securities account (such account as separately identified for such purpose between Eurex Clearing AG and the Clearing Member) maintained exclusively for Eurex Clearing AG by the Clearing Member or maintained by Eurex Clearing AG with a securities depository bank which is recognised by Eurex Clearing AG or at a Custodian or CSD.

For the purpose of such pledges, the Clearing Member hereby assigns its rights to claim these securities from such CSD to Eurex Clearing AG. As regards to the pledge of Swiss intermediated securities an additional control agreement between the Clearing Member, SIX SIS AG and Eurex Clearing AG will be concluded. The Clearing Member shall promptly notify the CSD of the conclusion of this agreement to pledge securities. The Clearing Member represents and warrants by way of an independent guarantee and irrespective of fault (*selbständiges, verschuldensunabhängiges Garantieverprechen*) to Eurex Clearing AG that it is the owner of the pledged securities or otherwise entitled or authorised to pledge the securities to Eurex Clearing AG and that such securities are not subject to any prior or equal claims of third parties, except for any rights and claims arising pursuant to the standard business terms of any CSD or as a matter of law. The

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Clearing Member shall not, for the duration of such pledge, permit any such claims to arise without the prior consent of Eurex Clearing AG.

[...]

3.2 Pledge with respect to Net Omnibus Transactions

In order to provide Net Omnibus Margin in accordance with Chapter I Part 1 Number 3 and Part 4 Number 6 of the Clearing Conditions, the Clearing Member hereby pledges to Eurex Clearing AG all securities which are at present or will in the future be deposited in the pledged securities account (such account as separately identified between Eurex Clearing AG and the Clearing Member) maintained exclusively for Eurex Clearing AG by the Clearing Member with a CSD for such purpose; in case of a pledged securities account of the Clearing Member with Clearstream Banking S.A. Number 3.3 shall apply. For the purpose of such pledge, the Clearing Member hereby assigns its rights to claim these securities from such CSD to Eurex Clearing AG. As regards to the pledge of Swiss intermediated securities an additional control agreement between the Clearing Member, SIX SIS AG and Eurex Clearing AG will be concluded. The Clearing Member shall promptly notify the CSD of the conclusion of this agreement to pledge securities.

The Clearing Member represents and warrants by way of an independent guarantee and irrespective of fault (*selbständiges, verschuldensunabhängiges Garantieverprechen*) to Eurex Clearing AG that it is the owner of the pledged securities or otherwise entitled or authorised to pledge the securities to Eurex Clearing AG and that such securities are not subject to any prior or equal claims of third parties, except for any rights and claims arising pursuant to the standard business terms of any CSD or as a matter of law. The Clearing Member shall not, for the duration of such pledge, permit any such claims to arise without the prior consent of Eurex Clearing AG.

[...]

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Appendix 8 to the Clearing Conditions:

Clearing Agreement

with a Net Omnibus Non-Clearing Member
and/or Net Omnibus Registered Customer
for the Net Omnibus Clearing Model

[...]

Part 1 General Provisions

1 Scope of the Agreement, Applicable Legal Provisions

[...]

- 1.3 This Agreement shall enable the Clearing Member to settle transactions with the Net Omnibus Non-Clearing Member/Net Omnibus Registered Customer under the rules of the Client Assets Sourcebook (CASS) in the Financial ~~Services Conduct~~ Authority Handbook (to the extent applicable). The Clearing Member shall be solely responsible for compliance with the CASS rules ~~of the Client Assets Sourcebook (CASS)~~.

[...]

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Appendix 9 to the Clearing Conditions:

Clearing Agreement

for the Clearing of GC Pooling Repo Transactions with the Holder of a Specific Repo License

[...]

3 Pledges regarding the Securities delivered to the Holder of a Specific Repo License

In order to create a pledge pursuant to Chapter IV Part 3 Number 3.4 of the Clearing Conditions, the Holder of a Special Repo License herewith pledges to Eurex Clearing AG all securities and securities credited (hereinafter jointly referred to as “**Securities**”) entered now or in the future in its securities account managed for it by Clearstream Banking AG and notified to Eurex Clearing AG as the account for the purpose of the Clearing of GC Pooling Repo Transactions. For purposes of such pledging, the Holder of the Specific Repo License herewith assigns to Eurex Clearing AG its claims vis-à-vis Clearstream Banking AG for surrender of such securities. The Holder of a Specific Repo License shall immediately notify Clearstream Banking AG of the conclusion of this pledge and assignment agreement.

The Holder of a Specific Repo License affirms that it is the owner of the pledged Securities or otherwise entitled or authorised to pledge the securities to Eurex Clearing AG and that such Securities are free from equally-ranking or superior third-party rights, except for any rights and claims arising pursuant to the standard business terms of any CSD or as a matter of law. The Holder of a Specific Repo License shall, for the period of time in which the Securities are pledged, not allow such rights to arise without the consent of Eurex Clearing AG.

[...]

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