

## Price List of Eurex Clearing AG

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AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED

DELETIONS ARE CROSSED OUT

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[...]

### **9 Service Fees for Eligible Margin Assets, Default Fund Contributions and Funds provided for the Fulfilment of Own Funds or equivalent Regulatory Capital Requirements**

[...]

#### **9.1 Service Fees for Eligible Margin Assets in the Form of cash**

[...]

(5) Eurex Clearing AG reserves the right to charge an additional service fee for Eligible Margin Assets in the form of cash denominated in USD, where the USD cash amount

(i) has been delivered by the Clearing Member to Eurex Clearing on the last Business Day of a calendar quarter,

(ii) resulted in overcollateralization, or increased, an existing overcollateralization (i.e. in both cases exceeded the prevailing margin requirement) at the time of delivery (including where this delivery has been made as part of a substitution) and  
(iii) has not been withdrawn on the same day (overnight holding).

The fee shall correspond to an amount equal to 2.50 % of the resulting overcollateralization or resulting increase in overcollateralization, as the case may be, at the time of delivery.

(65) If the Clearing Member has chosen to use a Settlement Bank for payments in EUR or CHF pursuant to Chapter I Part 1 Number 2.1.2 (4) (b) (ff) of the Clearing Conditions, an additional settlement bank fee of 0.001 % will be charged on a monthly basis per Clearing Member on the amounts settled through the Settlement Bank to cover cash obligations according to Chapter I Part 1 Number 1.4.1 of the

Clearing Conditions ("**Settlement Bank Fee**"). The Settlement Bank Fee is determined according to the applicable Clearing Currency. A monthly minimum Settlement Bank Fee (Floor) of EUR 3,000 or CHF 3,300, depending on the applicable Clearing Currency, shall apply per Clearing Member and shall be charged if a Settlement Bank is used for one or more currencies at least for one day of the respective calendar month.

The Settlement Bank Fee shall not be charged to FCM Clearing Members.

(76) If the discounts pursuant Paragraph (2) and (4) apply simultaneously, the discount pursuant to Paragraph (2) shall be applied first and, on that outcome, the discount pursuant to Paragraph (4) shall be applied.

(87) Eurex Clearing reserves the right to charge a lower fee.

(98) For the avoidance of doubt, the provisions on the payment of interest or charge of negative interest on Eligible Margin Assets in the form of cash delivered to Eurex Clearing AG and the provisions on the reimbursement of expenses arising from the investment of cash actually delivered as Margin (as, in particular, laid out in Chapter I Part 1 Number 3.4.4, 3.4.5 of the Clearing Conditions and Chapter I Number 3.1.3 FCM Regulations) shall remain unaffected by this Number 9.

## 9.2 Service Fees for Eligible Margin Assets in the Form of Securities

(1) For Eligible Margin Assets in the form of Securities delivered to Eurex Clearing AG, a service fee in the corresponding clearing currency will be charged in accordance with the table below:

Standard service fee <u>rate</u> (applied to Eurex Clearing's exposure collateralized by the delivered Securities, per annum)	0.10 %
<del>Discounted fee</del> <u>Fee rate</u> according to Paragraph (2): Securities constituting excess margin and Securities delivered as cover for <u>disclosed</u> client-related OTC Interest Rate Derivative Transactions	0.00 %
<u>Fee rate according to Paragraph (3) (i): Securities booked on an Internal ISA Direct Margin Account</u>	<u>0.05 %</u>
<u>Fee rate according to Paragraph (3) (ii): Securites delivered via GC Pooling re-use functionality</u> <del>Discounted fee according to Paragraph (3) (i): Securities booked on an ISA Direct Margin Own Account</del>	<u>0.05 %</u> <del>0.05 %</del>
<u>Fee rate according to Paragraph (3) (iii): Securites delivered via GC Pooling re-use functionality for collateralizing Repo Transactions</u> <del>Discounted fee according to Paragraph (3) (ii): Securites delivered via GC Pooling re-use functionality</del>	<u>0.00 %</u> <del>0.05 %</del>

Fee rate according to Paragraph (3) (iv): Securities delivered as cover for disclosed client- related transactions under use of the cross-margining functionality	0.05 %
[...]	

[...]

- (3) ~~Eurex Clearing grants a discount of 50 %~~ charges the following on the service fee referred to in Paragraph (1) with respect to Eligible Margin Assets in the form of Securities

(i) that are booked on an Internal ISA Direct Margin Account: a service fee of 0.05%;  
~~and/or~~

(ii) which are delivered through the GC Pooling® re-use functionality: a service fee of 0.05% ~~(provided, however, that a discount of 100 % shall be granted on the service fee if Eurex Clearing's exposure collateralized by the Securities delivered through the GC Pooling® re-use functionality results out of Repo Transactions (including GC Pooling Repo Transactions)).~~

(iii) which are delivered through the GC Pooling® re-use functionality to cover Eurex Clearing exposure for Repo Transactions: a service fee of 0.00%

(iv) which cover margin requirements that actually reflect (in accordance with a cross-margining election by the Clearing Member) netting effects on a given day between (A) OTC Interest Rate Derivatives Transactions and OTC NDF Transactions pursuant to Chapter VIII and (B) Eurex Transactions, provided that the relevant Transactions are booked to the same Transaction Account within a DC Transaction Accounts Group or ISA Transaction Accounts Group, as applicable: a service fee of 0.05%

[...]

### 9.3 Service Fees for Default Fund Contributions

[...]

For Contributions to the Default Fund in the form of Securities Number 9.2 applies *mutatis mutandis*, i.e. ~~the standard service fee rate of 0.10% shall apply provided that the discounts set out Number 9.2 (3) will not be granted.~~ The calculation of the service fee will be performed act / 365 based on the value of the entire Contributions in the form of Securities which are recorded on the internal Default Fund account (i.e. including any Excess Contribution or FCM Excess Contribution, as applicable).

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