

	Check	Activity	Contact/Support	Due Date
General		Align with your ISV regarding required functionality and implementation processes.	Key Account Manager & Technical Key Account Manager	September 2017
General		Since trading participants are required to provide several IDs, we kindly ask all trading participants to start with the internal processes, e.g. aligning with software providers, setting up responsibilities (who is in charge to upload the required IDs) and processes (which requirements need to be fulfilled before a ID will be uploaded). For further information, please refer to the Eurex circular 040/2017.	Key Account Manager & Technical Key Account Manager	ongoing
National ID		Under Art. 25(2) of Regulation (EU) No. 600/2014 and RTS 24, Eurex shall keep at the disposal of the competent authority the relevant data to identify the person within the participant firm of the trading venue that is primarily responsible for the investment decision or primarily responsible for the execution of the transaction. Therefore we kindly ask you to provide us with the National ID of all admitted traders . A manual for the upload of National IDs can be found on the Eurex website .	Member Section Team Member.section@deutsche-boerse.com	End of Nov 2017
LEI		According to Art. 25(2) of Regulation (EU) No 600/2014 and RTS 24, Eurex shall keep at the disposal of the competent authority the relevant data to identify the participant of the trading venue. Therefore we kindly ask all trading participants to provide the Legal Entity Identifier (LEI) of their company via the Member Section .	Member Section Team Member.section@deutsche-boerse.com	End of Nov 2017
Client ID		Please provide Eurex with the Client ID of your customers via upload in the Member Section. The upload functionality is available in the Member Section of the Eurex website. A manual has been published on the Eurex website . Please note, that the SFTP solution (native internet only), which can be used to upload reference data, is now available for simulation. Further information about the SFTP solution can be found here .	customer.readiness@eurexchange.com	End of 2017
Algo ID		Please test your algorithms in the simulation environment (Cloud Simulation/standard simulation) prior to going live in production and confirm to Eurex that all algorithms deployed into production have successfully passed algorithm testing by uploading certificates with the Algo ID in the Member Section.	customer.readiness@eurexchange.com	End of 2017
Liquidity Provider		The current commercial Market Making, that is relevant for the granting of rebates, will continue as Liquidity Provision (LP) as of January 2018. To be able to receive Liquidity Provider incentives (currently named: "Market Maker incentives"), all trading participants will have to become a Liquidity Provider by signing a respective Liquidity Provider Agreement .	customer.readiness@eurexchange.com	Q4 2017
Regulatory Market Maker		All trading participants that satisfy the requirement of the Commission Delegated Regulation (CDR) (EU) 2017/578 (former RTS 8) Art. 1 need to undergo a formal admission process with Eurex Deutschland and become a Regulatory Market Maker (RMM) as of January 2018 . A draft version of the admission form can be found here .	customer.readiness@eurexchange.com	Feb 2018

Check

Activity

Contact/Support

Due Date

Commodity Derivatives

With MiFID II all commodity derivatives in scope for the position limit and position reporting regulations that are tradable on Eurex Deutschland will be grouped in a newly created, separate product group "Eurex Commodity Derivatives MiFID2". **Trading participants who want to trade commodity derivatives products from 2018 onwards, need to apply for assignment to the new product group for these products.**

customer.readiness@eurexchange.com

Q4 2017

Maximum Order Value

For all products included in this new product group, trading participants have to provide (Art. 58(3) MiFID II) breakdowns of their positions and positions of their clients (end-customer level) at least on a daily basis (t+1). **To fulfil the reporting requirements, trading participants have to request connectivity to the Regulatory Reporting Hub (RRH).** Detailed information can be found [here](#). Please contact regulatory.services@deutsche-boerse.com for the set up.

MD+S
regulatory.services@deutsche-boerse.com

Nov 2017

The pre-trade controls rule regarding maximum order value has to automatically reject orders that do not meet pre-set maximum order value limits by the participant. Therefore, **trading participants have to set a limit for each trader in their admin GUI.**

Trading Functional Helpdesk Eurex
eurextrading@eurexchange.com

Q4 2017

Authorisation Requirements

With the application of MiFID II / MiFIR, the scope of trading activities requiring authorisation as investment firm will be extended. This may also affect trading participants that are located in non-EEA countries. **Affected participants need to consider the different options for authorization.**

[Third country firm authorisation requirements](#)
customer.readiness@eurexchange.com

2nd July 2018

Transaction Reporting for 3rd country firms

In order to comply with the reporting requirements, **the affected trading participants (e.g. non-EEA member, collective investment undertakings) have to set up a connection to the Regulatory Reporting Hub (RRH).** The RRH will perform the reporting to the national competent authority. Further information can be found [here](#). If you have not set up a connection to the RRH yet, we kindly ask you to do so. Please contact for the set up regulatory.services@deutsche-boerse.com.

MD+S
regulatory.services@deutsche-boerse.com

Oct 2017

Financial Licence

All European Economic Area (EEA) trading participants need to ensure that they are supervised (possess a Financial Licence). **If you (as a EEA trading participant) are currently not supervised, please contact you local regulator to make sure you are compliant for MiFID II.**

customer.readiness@eurexchange.com

End Nov 2017

Due Diligence Questionnaire

According to RTS 7, Article 7, trading venues are obliged to gather due diligence information from their trading participants. **Once per year every trading participant has to complete a due diligence questionnaire.** The questionnaire will be made available in our member section in order to provide our trading members in a most convenient way the possibility to fulfil compliance with regard to the due diligence requirements according to RTS 7, Article 7.

Member Section Team
Member.section@deutsche-boerse.com

2019

General

Please provide the signed „Readiness Statement“ for T7 release 6.0 to Eurex.
The Readiness Statement is available [here](#).

customer.readiness@eurexchange.com

End Nov 2017