



## *A n n o u n c e m e n t* *o f E u r e x D e u t s c h l a n d*

### **Eleventh Amendment Ordinance to the Exchange Rules of Eurex Deutschland**

On 10 November 2021, the Exchange Council of Eurex Deutschland approved the Eleventh Amendment Ordinance to the Exchange Rules of Eurex Deutschland.

It shall take effect at the points in time specified in Article 2 of the Amendment Ordinance.

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The Amendment Ordinance is available on the website of Eurex Deutschland (<http://www.eurexchange.com>) and has been deposited in the Eurex Deutschland folder (“Präsenzordner Regelwerke”), which can be obtained during general opening hours at the reception desk of the trading floor, Börsenplatz 4, 60313 Frankfurt/Main, Germany.

## Eleventh Amendment Ordinance to the Exchange Rules of Eurex Deutschland

**Article 1** *Amendment to the Exchange Rules of Eurex Deutschland in the version dated 03 January 2018, last amended by the Amendment Ordinance dated 22 June 2021*

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AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED

DELETIONS ARE CROSSED OUT

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[...]

### VII. Section Obligation for Transparency and Reporting

[...]

#### § 72 Requesting And Saving of Data

(1) The Management Board of Eurex Deutschland may request Exchange Trading Participants to provide them with data, where required for the compliance with the provisions in Article 25 Paragraph 2 of the Regulation (EU) No. 600/2014. ~~Where the data is not available to the Exchange Participant himself, he shall be obliged to apply appropriate procedures in order to receive such information from his customer.~~

Pursuant to Article 25 of the Regulation (EU) No. 600/2014 the data will be saved and used. ~~§ 21 of the Exchange Rules remains unaffected.~~

- (2) When entering an order into the trading system, the Long Codes assigned to the order shall be replaced by Short Codes. Only one Short Code may be assigned respectively to one Long Code. In substantiated exceptional cases, particularly, in which an Exchange Participant holds several securities accounts for one customer or a Long Code changes due to legal provisions, the Management Board may determine a provision deviating from the preceding sentence 2.
- (3) The Long Code assigned to a Short Code must be reported to Eurex by the end of the trading day following the trading day on which the Short Code was first used ("Subsequent Day"). On the Subsequent Day Exchange Participants receive a report on the Short Codes used by them which have not yet been assigned to a Long Code.
- (4) "Short Code" means a unique numeric code which cannot be changed subsequently or intra-day and which a trading participant permanently assigns to a Long Code.
- (5) "Long Code" are the details of orders listed respectively in the third column of Table 2 Section A No. 3 (Client identification code) and No. 4 (Investment decision within firm) and No. 5 (Execution within firm) of the Annex to Delegated Regulation (EU) 2017/580.

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## **Article 2      Effectiveness**

The amendments in Article 1 shall become effective on 01 January 2022.

The foregoing Eleventh Amendment Ordinance to the Exchange Rules for Eurex Deutschland is hereby executed. Pursuant to the decision of the Exchange Council of Eurex Deutschland dated 10 November 2021, the Amendment Ordinance shall take effect at the points in time specified in Article 2 of the Amendment Ordinance.

The Hessian Ministry of Economics, Energy, Transport and Housing has given its approval required pursuant to § 16 Paragraph 3 of the Exchange Act in its letter dated 18 November 2021 (File No: III 7 – 37 d 04.05.02 # 014).

The Amendment Ordinance shall be announced by notice in the reception area of the trading floor, Börsenplatz 4, 60313 Frankfurt/Main, Germany and by electronic publication on the internet, available on the website of Eurex (<http://www.eurexexchange.com>).

Frankfurt/Main, 19 November 2021

Management Board of Eurex Deutschland

Dr. Randolph Roth

Michael Peters