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# Election of members of the new Supervisory Board of Deutsche Börse Aktiengesellschaft 2015

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Proposal for the election of Supervisory Board members representing the shareholders

## Election of members of the new Supervisory Board

The term of office of all Supervisory Board members elected by the Annual General Meeting will expire at the end of the Annual General Meeting on 13 May 2015.

The last Annual General Meeting held on 15 May 2014 had resolved to reduce the size of the Supervisory Board of Deutsche Börse Aktiengesellschaft starting with the next regular term of office and to amend section 9 (1) of the Articles of Association of Deutsche Börse Aktiengesellschaft accordingly. Therefore, section 9 (1) sentences 1 and 2 of the Articles of Association of Deutsche Börse Aktiengesellschaft stipulates that the Supervisory Board shall comprise 18 members up until the close of the Annual General Meeting in 2015 and at such time the term of office of all Supervisory Board members shall routinely end in accordance with the law and the Articles of Association; after such time, the Supervisory Board shall comprise only 12 members.

Accordingly, pursuant to sections 96 (1), 101 (1) of the Aktiengesetz (German Stock Corporation Act) and sections 4 (1), 1 (1) no. 1 of the Drittelbeteiligungsgesetz (German One-Third Employee Representation Act) and section 9 (1) sentences 1 and 2 of the Articles of Association of Deutsche Börse Aktiengesellschaft, the Supervisory Board consists of 12 members, comprising 8 shareholder representatives and 4 employee representatives. Nominations for the election of shareholder representatives are not binding on the Annual General Meeting.

The Supervisory Board proposes the election of the following persons as shareholder representatives to the Supervisory Board, to be voted on individually.

## Proposal for the election of Supervisory Board members representing the shareholders



### Richard Berliand

born 1962

nationality: British

### Current position

since 2011 Management Consultant –

Executive Director

Richard Berliand Limited, United Kingdom

### Professional experience

1987–2011 J.P. Morgan Chase & Co – Managing Director:

2001–2010 Responsible for J.P. Morgan's Prime Services business (encompassing Securities Prime Brokerage & Finance, FX Prime Brokerage, Futures & Options and OTC Clearing and Broker Dealer Services)  
2006–2009 Responsible for J.P. Morgan's global Cash Equities business

### Education

Law (M.A.) – University of Cambridge

### Board memberships

- Eurex Frankfurt Aktiengesellschaft, Frankfurt/Main, Member of the Supervisory Board
- Deutsche Börse Aktiengesellschaft, Frankfurt/Main (publicly listed company), Member of the Supervisory Board since 7 October 2005

- Eurex Zürich Aktiengesellschaft, Zurich, Member of the Board of Directors
- ITRS Group Limited, London, Chairman of the Board of Directors
- London Wine Agencies, London, Director
- Mako Europe Ltd., London, Member of the Board of Directors
- Rothesay Assurance Limited, London, Member of the Board of Directors
- Rothesay Life Holdco UK Limited, London, Member of the Board of Directors
- Rothesay Life Limited, London, Member of the Board of Directors
- Financial Conduct Authority, London, Member of the Regulatory Decisions Committee

▪ Statutory supervisory boards

▪ Comparable German and foreign control bodies of business enterprises



**Dr Joachim Faber**

born 1950  
nationality: German

**Current position**

since 2012 Chairman of the Supervisory Board  
Deutsche Börse Aktiengesellschaft, Frankfurt/Main  
Independent Management Consultant, Grünwald

**Professional experience**

2000–2012 Member of the Executive Board Allianz SE  
CEO Allianz Global Investors AG  
1997–2000 Board Member (CFO)  
Allianz Versicherungs-AG  
1983–1997 Citicorp, various positions  
in Frankfurt and London  
1995–1997 Head of Capital Market Business  
Europe, North America and Japan

**Education**

Studies in law (fully qualified lawyer),  
Doctorate (Dr. rer. publ.)

**Board memberships**

- Deutsche Börse Aktiengesellschaft, Frankfurt/Main (publicly listed company), Member of the Supervisory Board since 20 May 2009, Chairman of the Supervisory Board since 16 May 2012
- Allianz France, Paris, Member of the Board of Directors
- Coty Inc., New York (publicly listed company), Member of the Board of Directors
- HSBC Holding plc., London (publicly listed company), Member of the Board of Directors
- Joh. A. Benckiser SARL, Luxembourg, Chairman of the Shareholders Committee



**Karl-Heinz Flöther**

born 1952  
nationality: German

**Current position**

since 2012 Independent Management  
Consultant, Kronberg

**Professional experience**

1979–2012 Accenture:  
2011 International Chairman  
2009–2011 Chief Strategy & Corporate Development  
Officer  
2005–2009 Chief Executive System  
Integration and Technology  
1999–2005 Group Chief Executive  
Financial Services

**Education**

University Degree in Economics

**Board memberships**

- Commerzbank Aktiengesellschaft, Frankfurt/Main (publicly listed company), Member of the Supervisory Board
- Deutsche Börse Aktiengesellschaft, Frankfurt/Main (publicly listed company), Member of the Supervisory Board since 16 May 2012



**Craig Heimark**

born 1954  
nationality: US-American

**Current position**

since 1997 Managing Partner  
Hawthorne Group LLC, Palo Alto

**Professional experience**

1990–1997 Member of the Executive Board  
(Strategic Planning, CIO) SBC Warburg  
1986–1993 General Partner O'Connor and Associates  
1979–1986 Floor Trader Chicago Board Options Exchange

**Education**

BA Economics, BS Biology

**Board memberships**

- Deutsche Börse Aktiengesellschaft, Frankfurt/Main (publicly listed company), Member of the Supervisory Board since 7 October 2005
- Cohesive Flexible Technologies Corporation, Chicago, Chairman of the Board of Directors



**Dr Monica Mächler**

born 1956  
nationality: Swiss

**Current position**

since 2012 Member of different supervisory bodies, Pfäffikon

**Professional experience**

2009–2012 Vice Chair of the Board of Directors  
Swiss Financial Market Supervisory Authority (FINMA)  
2007–2008 Director Swiss Federal Office  
of Private Insurances (FOPI)  
1990–2006 Zurich Insurance Group Ltd  
(formerly Zurich Financial Services Ltd):  
2001–2006 Member of the Group Management Board  
1999–2006 Group General Counsel and Head of  
the Secretariat of the Board of Directors  
1990–1998 Corporate Legal Advisor  
1985–1990 Lawyer Banking and business law

**Education**

Studies in law (fully qualified lawyer), Doctorate

**Board memberships**

- Deutsche Börse Aktiengesellschaft, Frankfurt/Main (publicly listed company), Member of the Supervisory Board since 16 May 2012
- Cembra Money Bank AG, Zurich (publicly listed company), Member of the Board of Directors
- Zurich Insurance Group Ltd, Zurich (publicly listed company), Member of the Board of Directors
- Zurich Insurance Company Ltd, Zurich, Member of the Board of Directors

▪ Statutory supervisory boards

▪ Comparable German and foreign control bodies of  
business enterprises



#### Gerhard Roggemann

born 1948  
nationality: German

#### Current position

since 2014 Senior Advisor Edmond de Rothschild Private Merchant Banking LLP, London

#### Professional experience

2004–2014 Vice Chairman, Investment Banking Cannacord Genuity Limited  
1996–2004 Member of the Executive Board WestLB AG  
1991–1996 Member of the Executive Board Norddeutsche Landesbank Girozentrale  
1979–1991 JP Morgan & Co., New York  
Last position: Managing Director, Tokyo

#### Education

Banker and fully qualified lawyer

#### Board memberships

- Deutsche Beteiligungs AG, Frankfurt/Main (publicly listed company), Deputy Chairman of the Supervisory Board
- Fresenius SE & Co KGaA, Bad Homburg (publicly listed company), Member of the Supervisory Board
- GP Günter Papenburg AG, Schwarmstedt, Chairman of the Supervisory Board
- Wave Management AG, Hanover, Member of the Supervisory Board
- Deutsche Börse Aktiengesellschaft, Frankfurt/Main (publicly listed company), Member of the Supervisory Board from 11 May 1998 to 14 May 2003 and since 12 July 2005, Deputy Chairman since 2008



#### Dr Erhard Schipporeit

born 1949  
nationality: German

#### Current position

since 2006 Independent Management Consultant, Hanover

#### Professional experience

2000–2006 Member of the Board of Management and CFO E.ON AG  
1997–2000 Member of the Board of Management and CFO VIAG AG  
1993–1997 Chairman of the Board of Management and CEO VARTA AG  
1990–1993 Member of the Board of Management and CFO VARTA AG

#### Education

Degree in Business Administration, Doctorate (Dr. rer. pol.)

#### Board memberships

- BDO AG, Hamburg, Member of the Supervisory Board
- Fuchs Petrolub SE, Mannheim (publicly listed company), Member of the Supervisory Board
- Hannover Rück SE, Hanover (publicly listed company), Member of the Supervisory Board
- Rocket Internet AG, Berlin (publicly listed company), Member of the Supervisory Board (until 23 June 2015)
- SAP SE, Walldorf (publicly listed company), Member of the Supervisory Board
- Talanx AG, Hanover (publicly listed company), Member of the Supervisory Board
- Deutsche Börse Aktiengesellschaft, Frankfurt/Main (publicly listed company), Member of the Supervisory Board since 7 October 2005
- Fidelity FUNDS SICAV, Luxembourg, Member of the Board of Directors



#### Amy Yok Tak Yip

born 1951  
nationality: Chinese (Hong Kong)

#### Current position

since 2010 Member of the management RAYS Capital Partners Limited, Hong Kong  
Executive Director Vitagreen, Hong Kong

#### Professional experience

2006–2010 DBS Bank, Singapore:  
DBS Bank Limited, Hong Kong  
Chief Executive Officer and Country Head Hong Kong Group Head Wealth Management (Private Bank & Asset Management)  
DBS Asset Management Limited, Singapore  
Chairperson of the Management Board  
1996–2006 Hong Kong Monetary Authority  
Executive Director (Reserves Management)  
1991–1996 Citibank Private Bank, North Asia  
Vice President, Private Banking, North Asia  
1988–1991 Rothschild Asset Management, Hong Kong, Director  
1986–1987 Management Analysis Centre  
Strategic Consultant, Boston and Hong Kong  
1978–1985 Morgan Guaranty Trust, Hong Kong,  
Banker

#### Education

Master in Business Administration,  
Harvard Business School  
Bachelor of Arts, Brown University

#### Board memberships

- AIG Insurance Hong Kong Limited, Hong Kong, Member of the Board of Directors
- Temenos Group AG, Geneva (publicly listed company), Member of the Board of Directors

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