

## Application for Admission as Portfolio Compressor

Eurex Deutschland  
 c/o Eurex Frankfurt AG  
 Client Services, Trading & Clearing  
 60485 Frankfurt am Main  
 Germany

### Applicant

Name of the applying participant	
Address 1	Street No
Address 2	
Zip Code	City
Country	
Value Added Tax Identification Number (EU-countries only)	

### Contact Person

First name / Last name
Telephone
E-Mail

### TPIP-ID/ Member ID

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### Membership status

The applicant currently holds the membership status as

- Disclosed Client / Market Participant
- Third Party Information Provider (TPIP)

Applicant herewith applies to be admitted as portfolio compressor according to section 4.7 of the Conditions for Trading at Eurex Deutschland.

Applicant herewith confirms that it fulfils and complies with the requirements according to Article 31 of Regulation (EU) No 600/2014 and Articles 17 and 18 of the Commission Delegated Regulation (EU) 2017/567

Applicant in particular confirms and guarantees:

- to either be a registered and supervised investment firm domiciled the European Union or be a recognized third-country firm registered in the Register according to Article 48 of Regulation (EU) No 600/2014 that is subject to rules and regulations equivalent to Article 31 of Regulation (EU) No 600/2014 and Articles 17, 18 of the Commission Delegated Regulation (EU) 2017/567
- that relevant competent authority according to Article 31(3) of Regulation (EU) No 600/2014 is aware and consents to the applicant acting as Portfolio Compressor according to section 4.7 of the Conditions for Trading at Eurex Deutschland,
- that it will only enter portfolio compression trades and confirm portfolio compression cycles according to section 4.7 of the Conditions for Trading at Eurex Deutschland on behalf of exchange participants of Eurex Deutschland based on an agreement according to Art. 17 of Commission Delegated Regulation (EU) 2017/567,
- it will publish any portfolio compression trades according to Art. 31 (2) Regulation (EU) No 600/2014 and Art. 18 of the Commission Delegated Regulation (EU) 2017/567
- it complies with the requirements set out in Art. 17 (4) of Commission Delegated Regulation (EU) 2017/567 before a portfolio compression process is initiated.

Name and address of the supervisory authority supervising Applicant in the EU:	
Name and address of the Approved Publication Arrangement used by Applicant to publish portfolio compression trades:	

## Indemnification

The Applicant herewith indemnifies and holds Eurex Deutschland, Eurex Frankfurt AG and Eurex Clearing AG harmless, on first demand against all claims of third parties from time to time arising out of or in connection with Applicant's initiation of portfolio compression trades and/or its confirmation of portfolio compression cycles according to section 4.7 of the Conditions for Trading at Eurex Deutschland.

Eurex Frankfurt AG is acting on its own and as authorized receiving agent for Eurex Clearing AG and Eurex Deutschland for the purpose of this indemnification.

This indemnification shall be governed by the law of the Federal Republic of Germany. Exclusive place of jurisdiction shall be Frankfurt am Main.

Attachments:

- 1.) Proof of authorization of Applicant being an registered and supervised investment firm domiciled in the European Union or - if applicable - copy of the Register according to Article 48 of Regulation (EU) No 600/2014 showing the Applicant as recognized third-country firm together with a proof that applicant is subject to regulation equivalent to the aforementioned regulation Article 31 of Regulation (EU) No 600/2014 of the European Parliament and the Council of 15 May 2014 and Articles 17, 18 of the Commission Delegated Regulation (EU) 2017/567 of 18 May 2016.
- 2.) Proof that the relevant competent authority according to Article 31(3) of Regulation (EU) No 600/2014 is aware that and consents to Applicant is acting as Portfolio Compressor according to section 4.7 of the Conditions for Trading at Eurex Deutschland

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Date	Place	Authorized signature (Person name in print letters)
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