



Customer Identification Template

Part 1. Entity information

1	Full legal name	
2	Legal Form	
3	Regulatory Status	
4	Name of primary financial regulator / supervisory authority	
5	Full legal name of ultimate parent (if different from the entity completing the form)	
6	Jurisdiction of licensing authority and regulator of ultimate parent	
7	Provide brief details of your main business lines / activities	
8	Please indicate the main geographic markets covered and name the countries where you are active in (10% or more of the customers' portfolio or revenues).	
9	Are you a Shell bank?	
10	Do you offer correspondent banking services?	
11	Do you allow payable through accounts?	
12	Are you a non-US bank maintaining a correspondent account with any US broker-dealer in securities?	
13	Please provide a national tax identifier	
14	Are you acting as a proxy holder on behalf of a legal entity contracting with a Deutsche Börse Group entity?	
15	If yes, please provide the name, LEI and legal/registered address of the legal entity customer on which behalf you act upon.	

Part 2. Ownership and Shareholder Structure

16	<p>Details concerning the legal structure through which beneficial ownership is held or exercised <i>Please declare all legal entities in the ownership structure. This means looking through corporate or similar owners of the company and assigning ownership interests to all share classes.</i></p> <p>Please attach a diagram of the ownership and shareholder structure, duly signed/stamped and dated.</p>	
2.1. Declaration of significant shareholder(s)		
17	<p>Please provide a list of natural persons or legal entities owning or controlling, directly and/or indirectly 10% or more of the entity's shares or voting rights. These include, i.e. corporations, cooperatives, partnerships, sole traders, limited liability companies, funds and nominees or partners.</p> <p>We confirm that no shareholder owns or controls directly and/or indirectly 10% or more of the entity's shares/voting rights.</p>	

[or:]									
The following shareholders of the entity own or control directly and/or indirectly 10% or more of the entity's shares/voting rights. <i>(Additional shareholders can be provided on a separate sheet)</i>									
Full name	Registered Address	Place of Business	Regulated / Unregulated	Nationality	Date of birth [dd/mm/yyyy]	City/Country of birth	Ownership percentage	Ownership type	Means of control
[Last name, first name(s) OR legal name, legal form]	[Street, number, city, postcode, country]	[Only applicable for legal entity]			[Only applicable for natural persons]				
18	Have there been any significant changes in ownership over the last 3 years?								
	If yes, please provide details								
19	Is a trust identified in the ownership structure as owning 10% or more of the entity?								
	If yes, please provide details on settlor, trustees, protector, beneficiaries and board of directors: Name, country of residence, nationality, date of birth, purpose of the trust, ownership percentage, if necessary on a separate page.								
20	Is a foundation identified in the ownership structure as owning 10% or more of the entity?								
	If yes, please provide details on settlor, trustees, protector, beneficiaries and board of directors: Name, country of residence, nationality, date of birth, purpose of the trust, ownership percentage, if necessary on a separate page.								
2.2. Declaration of ultimate beneficial ownership									

Part 4. Sanctions Declaration

23	Does your institution have: - any offices, transactions, investments, activities or planned activities in sanctioned targets or - any transactions, investments, activities or planned activities with (or in) any person or entity located in sanctioned targets or with (or in) any entity owned or controlled by any entity located in sanctioned targets?	
	If yes, please provide details, including specific types of investments, transactions or activities and % of total revenues and/or assets that these instruments, transactions or activities represent for your institution in sanctioned targets.	

Part 5. MLRO and Sanctions contact details

24	Contact person name	
	Job title	
	E-mail address	
	Phone	

We process Personal Data in accordance with the provisions of the General Data Protection Regulation EU 2016/679 (GDPR).

Part 6. Authorised signature

Name

Name

Title

Title

Date & Place

Date & Place

Signature & Company Stamp

Signature & Company Stamp