

Application for Authorisation of an Order Routing System /Automated Client Order Parameter Transfer System



Eurex Deutschland
 c/o Eurex Frankfurt AG
 Group Client Key Account
 Management 60485 Frankfurt am Main
 Germany

Applicant

* Name of the applying exchange participant _____

* Address 1 _____ * Street No _____

* Address 2 _____

* Zip Code _____ * City _____

* Country _____

Contact person

* First name / Last name _____

* Telephone _____ * Fax _____

* E-mail _____

* Eurex Member ID
 | | | | | | | | | |

Application for authorisation to connect an automatic order routing system to the trading system used by Eurex Deutschland pursuant to § 60 Exchange Rules for Eurex Deutschland.

I. Herewith we apply for

- the authorisation to connect an automatic order routing system to the Eurex trading system via the offered interface pursuant to § 60 Exchange Rules for Eurex Deutschland
- additionally the authorisation to connect a System granting Direct Market Access (DMA) according to §2 (9) Stock Exchange Act to the Eurex trading system via the offered interface pursuant to § 61 Exchange Rules for Eurex Deutschland and confirm applicable conditions precedent are fulfilled.

The name of the Order Routing System is: _____

or

- a further User-ID for an already approved order routing system.

II. The responsible person in the sense of § 49 Exchange Rules for Eurex Deutschland is the following, admitted exchange Trader, respectively a further User-ID shall be set up for:

User Code for an Order Routing System _____ Telephone number _____
 * O R | | | | | | | | | | Name of trader

User Code for an Automated Client Order Parameter Transfer System _____ Telephone number _____
 * A T C | | | | | | | | | | Name of trader

Any changes of the responsible trader and/or changes of the user code must be reported to the Exchange immediately!

III. We guarantee that at all times we will uphold the conditions and instructions set out in § 60 Exchange Rules for Eurex Deutschland as regards connecting and operating an order routing system. With respect to an additional DMA authorisation, conditions set out in §61 are also fulfilled, respected and will be upheld.

In particular, we are aware that the forwarding of trading data and information from the EDP system of the Eurex Exchanges via an Order Routing System by an Exchange Participant to third parties requires the respective consent of the Boards of Management of the Eurex Exchanges according to § 22 Exchange Rules for Eurex Deutschland.

EX-20170705-EN

 Date * Place Signatory Company stamp and authorized signature
 (Company name in print letters)