

# T7 GUI Launcher - Installation Manual for T7 Trader GUI, T7 Admin GUI and T7 Clearer GUI

Version

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Deutsche Börse Group

#### T7 Launcher – Installing T7 Trader-, Admin-, & Clearer GUI

Version 7.1.8

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## 1. Introduction

There are currently three different graphical user interfaces (GUIs) with different purposes for the T7 trading system:

- The T7 Trader GUI which is used for On-exchange trading and T7 Entry services
- The T7 Admin GUI which is used for trader maintenance, entitlement and transaction size limit settings
- The T7 Clearer GUI allows clearing members to monitor the trades of their non-clearing members. Additionally, it implements a function, which allows clearing members to halt further trading of their non-clearing members.

These T7 GUIs are Java applications and require a locally installed Java Runtime SE (JRE) version 8 on the client computer.

Java Runtime environments can be obtained from various sources. Besides the JRE offered by Oracle, Deutsche Börse offers a dedicated JRE to run the T7 GUIs.

For users of the Oracle Java SE, please consider Oracle's Java SE Support Roadmap, available on Oracle's website:

#### https://www.oracle.com/technetwork/java/javase/eol-135779.html

A mechanism will ensure that the T7 GUIs will always update to the latest version automatically. While this mechanism was based on Java Web Start in the past, it will now be replaced by a proprietary solution based on an open source tool "getdown" by threerings<sup>1</sup>.

All T7 GUIs can be used via leased line connections (using a GUI-Channel) or alternatively through the Internet (native Internet connection).

Internet connections require an additional security layer which requires the trading participant to create SSH key pairs and to upload the public key to the member section before using the GUIs over an Internet connection.

## 1.1 Document structure

Chapter 1 of this document outlines the general concept behind the T7 GUIs as well as the requirements on the client computer.

Chapter 2 outlines the steps to download and install the Java Runtime Environment provided by Deutsche Börse to run the T7 GUIs.

Chapter 3 describes the steps to download and install the T7 GUI Launcher.

Chapter 4 summarizes details, procedures and configurations to run the T7 GUIs after the T7 GUI Launcher has been installed. Details about the connecting network can be found in this chapter too.

<sup>&</sup>lt;sup>1</sup> See. <u>https://github.com/threerings/getdown</u> and <u>https://github.com/threerings/getdown/blob/master/LICENSE</u>

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Chapter 5 applies only to Internet connections and describes in detail several approaches for SSH key creation and the procedure to upload a created public key to the Member Sections of Eurex (for derivatives markets) and Xetra (for cash markets)

Chapter 6 summarizes a number of scenarios for testing and troubleshooting, together with links to further documentation.

## 1.2 T7 GUI access via the new T7 GUI Launcher

Since the initial launch of T7, the T7 GUIs were started using a mechanism called "Java Web Start" and most users have relied on the Java Runtime Environment SE distribution by Oracle.

Java Web Start was deprecated by Oracle in Java SE 9 and removed in Java SE 11.

Beginning with T7 7.1 Deutsche Börse has implemented a customized solution so that trading participants are able to run and seamlessly update the T7 GUIs without any additional Java license being required.

Deutsche Börse has achieved a license agreement, which allows the deployment of a bundled Java SE 8 Runtime Environment that can be used by the T7 trading community to run the T7 GUIs without additional Java license requirements.

The new "T7 GUI Launcher" replaces the Java Web Start and is based on the open source getdown application. The "T7 GUI Launcher" is a small software utility, which has to be installed on all computers which will be used to run the T7 GUIs.

Once the "T7 GUI Launcher" has been installed it will ensure that the T7 GUIs are updated automatically and seamlessly when a newer version of the T7 GUIs become available, ensuring that all users of the T7 system are always on the same software level.

The installation packages of the T7 GUI Launcher as well as the JRE version can be downloaded in the Member Sections of Eurex (for derivatives markets) and Xetra (for cash markets). See 3.2 for details on the download.

Please note: The "T7 GUI Launcher" only supports Microsoft Windows and will not be available for Linux or Mac OS.

The installation packages are offered on a market place basis (i.e. Eurex, Eurex FX, EEX, Xetra, Xetra Partner Exchanges and Xetra Vienna). The corresponding installation packages differ in terms of the respective environment (Production, Simulation and Disaster Recovery). Each package contains selection options for the connection alternatives (Leased Line and Internet).

Once installed the T7 GUIs are started by means of desktop shortcuts, which will be created during the installation of the specific T7 GUI launcher.

These desktop shortcuts linked to the various T7 GUIs point to specific batch files, which themselves trigger the new T7 GUI Launcher mechanism to download and start the latest T7 GUI binary on the client computer.

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## 1.3 Transition to the new T7 GUI Launcher

The new T7 GUI Launcher replaces the previously used mechanism of T7 GUI Landing Pages and Java Web Start. All current T7 GUI users are required to switch to the new T7 GUI Launcher.

To allow a smooth transition, the previously used mechanism will still be offered in parallel to the new T7 GUI launcher. Current planning foresees that the old mechanism consisting of Landing Pages and Java Web Start will be available until the introduction of T7 Release 8.0.

The transition to the T7 GUI Launcher is driven by the announced obsolescence of Java Web Start.

The decision which Java Runtime Environment distribution to run the T7 GUIs on, is driven by entirely different factors. Oracle's Java SE Support Roadmap affects the way Oracle's Java Runtime environment can be used in commercial environments. For details, please see:

https://www.oracle.com/technetwork/java/javase/eol-135779.html

Please refer to Oracles Java SE Support Roadmap and your contractual relationship with Oracle to choose a suitable Java Runtime Environment distribution.

The choice of Java Runtime Environment distribution is independent of the switch of the GUI launching mechanism.

Please note: Neither the change of the local Java Runtime Environment nor the change from the previously used Java Web Start to the new launch mechanism results in any change in the T7 GUIs themselves. The Admin-, Trader-, and Clearer GUI are the same GUIs as they have always been in the past.

## 1.4 Client PC requirements

To run the T7 GUIs, the T7 GUI Launcher and the underlying Java Runtime Environment, the client computer needs to meet a number of minimum requirements regarding the operating system version and the hardware specification.

Configurations, which have been used in internal testing within Deutsche Börse AG, are labeled as "Supported". Additionally there are configurations, which are considered "Possible" but were not tested by Deutsche Börse AG.

#### 1.4.1 Operating system

T7 supports the following operating systems to be run the T7 GUIs:

- MS Windows (32-bit), x86 (MS Windows 7, MS Windows Server 2012 R2)
- MS Windows (64-bit), x64 (MS Windows 7, MS Windows Server 2012 R2)

#### 1.4.2 Hardware

Recommended requirements for desktop computers running one T7 GUI:

• A computer capable of running the above listed operating systems and Java Runtime Environment with at least 4 GB memory and a dual-core processer that runs at least with 2 GHz.

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Please note: Display server solutions (i.e. Citrix) have not been tested, but are considered possible.

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## 2. Java Runtime Environment (JRE)

All T7 GUIs require a Java Runtime Environment Version 8 to be present on the computer to run the GUIs. Java Runtime Environments can be obtained from various sources with various support options.

Deutsche Börse achieved a contract with Azul Systems (see <u>http://azul.com</u>) which allows Deutsche Börse to offer Azul's Open Source Java Runtime "Zulu" starting with T7 7.1, to be used exclusively with the T7 GUIs and the T7 GUI Launcher.

Multiple different JREs can be installed on a client computer. Selection of a specific JRE is usually done by setting environment variables, either globally, on the operating system level, or specifically prior to running a specific Java application.

The following version of the Java Virtual Machine is supported and needs to be present on the client computer:

 Deutsche Börse's exclusively packaged Java SE 8 Runtime Environment (only for T7 GUI applications)

Oracle Java Runtime Environment version 8 is considered possible and has been used to run the T7 GUI in the past, but is no longer part of the internal software tests anymore.

## 2.1 Downloading Deutsche Börse's packaged JRE

The latest version of Deutsche Börse's packaged JRE Version 8 can be downloaded from the member section under the following paths:

Xetra / Cash markets:

https://member.deutsche-boerse.com > Cash Market Resources > Software > Java Runtime

Eurex / Derivatives markets:

<u>https://member.eurexchange.com</u> > Eurex Resources > Software > Java Runtime

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| <b>E</b> Keurex  | Technical<br>Connection       | Admissions &<br>User IDs                                     | Invoices &<br>Fee Report | Eurex<br>5 Resou |                |           |          |      |
| Eurex Resources<br>Eurex Exchange's T7                       | Softwa                        | e  |                          |                  |                |           |          |      |
| Eurex Releases<br>Simulation<br>Eurex Standard<br>Simulation | Release<br>2/14/19<br>2/14/19 | Description<br><u>Java Runtime</u><br><u>T7 GUI Launcher</u> | Size                     |                  |                |           |          |      |
| EurexOTC Clear<br>Simulation                                 |                               |  |                          |                  |                |           |          |      |
| CCP Standard<br>Simulation                                   |                               |  |                          |                  |                |           |          |      |
| Software   |                               |  |                          |                  |                |           |          |      |
| Trading Information  |                               |  |                          |                  |                |           |          |      |
| Matching Rules   |                               |  |                          |                  |                |           |          |      |
| Emergency Procedures   |                               |  |                          |                  |                |           |          |      |
|  | Imprint                       | Data privacy   | Terms of use             | Technica         | l requirements |           |          |      |

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## 2.2 Installing Deutsche Börse's packaged JRE

The JRE offered by Deutsche Börse is available in form of a single ZIP archive allowing manual extraction to a directory of choice.

Just download the ZIP archive and unpack it into a desired destination directory.

#### 2.2.1 Post installation steps, selecting a specific JRE

Any locally installed JRE can be specified by environment variables. Three environment variables have relevance in specifying the desired JRE. Two of them are commonly used, independent of the application in question, and one, which is used only by the T7 GUIs.

PATH contains a list of directories used by operating system to look for 'executables of any kind
 JAVA\_HOME commonly used variable to specify the location of a locally installed JRE or JDK. Applications like the T7 GUI Launcher batch files (i.e. EurexTraderLauncher.bat) use this a reference to the JRE/JDK
 T7\_JAVA\_HOME T7 GUI specific variable used only by the T7 GUI Launcher batch files (i.e. EurexTraderLauncher.bat) to reference the JRE to run the T7 GUIs with

To switch between JRE installations or to assign different JRE installations to different Java applications, these variables can be used.

- 1. The T7 GUI will first check if T7\_JAVA\_HOME points to a JRE. If it does, this JRE will be used to run the T7 GUIs.
- 2. If it does not, the T7 GUI will look for a JRE specified in JAVA\_HOME.
- 3. If no JRE can be found there, or JAVA\_HOME is not set, the first JRE (java.exe) which can be found in the directories of PATH will be used.

With this it is possible to run the T7 GUIs with the Deutsche Börse provided JRE, by setting T7\_JAVA\_HOME to that JRE, and to run other Java applications with another locally installed JRE, which is referenced by JAVA\_HOME.

The T7 GUI Launcher installs a batch file setenv.bat (see 3.3.3) which is the intended place to set T7\_JAVA\_HOME.

## 2.3 Future updates of Deutsche Börse's packaged JRE

The Java Runtime Environment will not update automatically when new updates of the JRE become available. Instead, Deutsche Börse will announce regular updates of the JRE via Implementation news on the public web pages of Eurex (derivatives markets) and Xetra (cash markets) under the following links:

www.eurexchange.com > Technology > T7 Trading architecture > Implementation news

https://www.eurexchange.com/exchange-en/technology/t7/implementation-news

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www.xetra.com > Technology > Implementation news

https://www.xetra.com/xetra-en/technology/implementation-news

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Implementation news provide RSS feeds to allow users to easily keep up with updates either in an RSS reader, or in the web browser of their choice.

In case of a <u>mandatory update</u> of the JRE, which needs to be installed on all clients to ensure availability of the T7 GUIs, circulars will be published too.

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## 3. T7 GUI Launcher



After downloading the market place specific installation package from the Member Section, the T7 GUI Launcher "launcher.jar" needs to be executed on each local machine where the T7 GUI is to be run. Each selection will install the respective desktop shortcuts (T7 Admin GUI, T7 Trader GUI and T7 Clearer GUI).

The T7 GUI launcher can be installed manually or in an unattended mode. During a manual installation, a configuration XML file can be created which can then be used for further unattended installations on other computers.

## 3.1 T7 GUI Launcher application as replacement for Java Web Start

The T7 GUI launcher is based on an open source tool "getdown" and consists of a single jar file (the respective T7 GUI Launcher installation package). The installation package contains the code for downloading and validating files along with images and configuration provided by the deploying application to brand the display shown to the user while downloading and installing files. A JRE must also be deployed on the machine that uses getdown (see chapter 2).

Using getdown the T7 GUI Launcher ensures that the latest version of a T7 GUI is always being used without the need for repeated update installations or upgrade procedures.

For more information with regard to the getdown application, please refer to:

https://github.com/threerings/getdown/wiki

### 3.2 Download and installation steps of the T7 GUI Launcher

The latest version of the T7 GUI Launcher packages can be downloaded from the member section under the following paths:

Xetra / Cash markets:

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https://member.deutsche-boerse.com > Cash Market Resources > Software > T7 GUI Launcher

Eurex / Derivatives markets:

<u>https://member.eurexchange.com</u> > Eurex Resources > Software > T7 GUI Launcher

|  |                         |                             |                            | I              | My Profile   | Inbox (0) | Contacts | Help |
|--|-------------------------|-----------------------------|----------------------------|----------------|--------------|-----------|----------|------|
| <b>E</b> eurex                                 | Technical<br>Connection | Admissions 8<br>User IDs    | i Invoices &<br>Fee Report | Eurex<br>Resou | rces         |           |          |      |
| Eurex Resources<br>Eurex Exchange's T7         | Softwar                 | e_EN                        |                            |                |              |           |          |      |
| Eurex Releases<br>Simulation                   | Release ≑<br>2/14/19    | Description<br>Java Runtime | Size                       |                |              |           |          |      |
| Eurex Standard<br>Simulation<br>EurexOTC Clear | 2/14/19                 | T7 GUI Launcher             |                            |                |              |           |          |      |
| Simulation<br>CCP Standard<br>Simulation       |                         |                             |                            |                |              |           |          |      |
| Software                                       |                         |                             |                            |                |              |           |          |      |
| Trading Information                            |                         |                             |                            |                |              |           |          |      |
| Matching Rules                                 |                         |                             |                            |                |              |           |          |      |
| Emergency Procedures                           |                         |                             |                            |                |              |           |          |      |
|  | Imprint [               | )ata privacy                | Terms of use               | Technical      | requirements |           |          |      |

#### 3.2.1 List of available Installation Packages

The following installation packages for cash and derivatives market places are currently available:

| Market Place                     | Environment       | File Name                 |
|----------------------------------|-------------------|---------------------------|
| Eurex                            | Production        | Eurex_Prod.jar            |
| Eurex                            | Simulation        | Eurex_Simu.jar            |
| Eurex                            | Disaster Recovery | Eurex_DR.jar              |
| Eurex T7/FX                      | Production        | Eurex_FX_Prod.jar         |
| Eurex T7/FX                      | Simulation        | Eurex_FX_Simu.jar         |
| Eurex T7/FX                      | Disaster Recovery | Eurex_FX_DR.jar           |
| EEX Energy                       | Production        | EEX_Prod.jar              |
| EEX Energy                       | Simulation        | EEX_Simu.jar              |
| EEX Energy                       | Disaster Recovery | EEX_DR.jar                |
| Xetra                            | Production        | Xetra_Prod.jar            |
| Xetra                            | Simulation        | Xetra_Simu.jar            |
| Xetra                            | Disaster Recovery | Xetra_DR.jar              |
| Xetra Partner Exchanges          | Production        | Xetra_Partner_Ex_Prod.jar |
| Xetra Partner Exchanges          | Simulation        | Xetra_Partner_Ex_Simu.jar |
| Xetra Partner Exchanges          | Disaster Recovery | Xetra_Partner_Ex_DR.jar   |
| Xetra Vienna + Partner Exchanges | Production        | Xetra_Vienna_Prod.jar     |
| Xetra Vienna + Partner Exchanges | Simulation        | Xetra_Vienna_Simu.jar     |
| Xetra Vienna + Partner Exchanges | Disaster Recovery | Xetra_Vienna_DR.jar       |

The packages for "Xetra Partner Exchanges" contain the GUIs for

- Bulgarian Stock Exchange
- Malta Stock Exchange

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The packages for "Xetra Vienna" contain the GUIs for

- Vienna Stock Exchange
- Budapest Stock Exchange
- Ljubljana Stock Exchange
- Prague Stock Exchange
- Zagreb Stock Exchange

#### 3.2.2 Package selection

Each T7 GUI Launcher package contains all T7 GUIs (Trader- , Admin-, and Controller GUI) for a given environment and Market Place.

Each T7 GUI Launcher package contains options to install the GUIs for Leased Line configuration or for Internet Access – or a combination of both.

For example: download the T7 GUI Launcher package "Eurex Simulation" (Eurex\_Simu.jar) to install Eurex Trader-, Eurex Admin- and Eurex Controller GUIs for T7 Simulation for your connection type.

#### eurex Technical Admissions & Invoices & Eurex Connection User IDs Fee Reports Resources Furey Resources Software\_EN Eurex Exchange's T7 Eurex Releases Release 🌲 Description Size Simulation 3/12/19 -3/12/19 -3/12/19 -Eurex DR 8.2 MB Eurex Standard Eurex Prod 8.2 MB Simulation Eurex Simu 8.2 MB EurexOTC Clear Simulation CCP Standard Simulation Software Trading Information Matching Rules **Emergency Procedures** Imprint Data privacy Terms of use Technical requirements

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#### 3.2.3 Installation procedure

Execute downloaded T7 GUI Launcher .jar file on each local machine where the T7 GUI should run by either

- double clicking on the .jar file
- using a java command java -jar Eurex\_Simu.jar

After starting the installer, the license agreement needs to be accepted to continue.

| IzPack - Installation of Eurex Simu  | _                     |                    | ×   |
|--|-----------------------|--------------------|-----|
| Licensing Agreements   |                       |                    |     |
| Please read the following license agreement carefully:   |                       |                    |     |
| (C) Copyright 2019 Deutsche Boerse AG, Frankfurt,<br>Germany All Rights Reserved.  |                       |                    |     |
| This software is furnished under license and may be used and copied only in accordance with the te<br>and with the inclusion of the above copyright notice. This software or any other copies thereof may<br>otherwise made available to any other person. No title to, or ownership of, the software is hereby tr<br>information in this software is subject to change without notice and should not be construed as a c<br>Deutsche Boerse AG. | not be p<br>ansferred | provided<br>I. The |     |
| By Installing the software you accept the License Agreement stated above.  |                       |                    |     |
| I accept the terms of this license agreement.  |                       |                    |     |
| I do not accept the terms of this license agreement.   |                       |                    |     |
| (Made with IzPack - http://izpack.org/)  | <u>N</u> ext          | 0 🔇                | uit |

On the next screen, select the desired target path for the installation

• The target path points to the directory where the software will be installed

| IzPack - Installation of Eurex Simu                                     | - [      | ) X            |
|---|----------|----------------|
| Target Path   |          |                |
| Select the installation path:<br>C:\Program Files (x86)\T7-GUI-Launcher |          | Browse         |
| (Made with IzPack - http://izpack.org/)                                 | Previous | 😢 <u>Q</u> uit |

On the next screen, select the installation package for the desired connectivity option. The main choice is between connecting the T7 GUIs over the Internet (communication will be encrypted by certificates), or via leased line(s).

| T7 Release 7.1   Deutsche Börse Group                                  |                    |  |
|--|--------------------|--|
| T7 Launcher – Installing T7 Trader-, Admin-, & Clearer GUI             | Version 7.1.8      |  |
| See chapter 4.4 for network details.                                   |                    |  |
| M IzPack - Installation of Eurex Simu                                  | – 🗆 X              |  |
| Select Installation Packages   |                    |  |
| Select the packs you want to install: Onte: Grayed packs are required. |                    |  |
| 🔟 Eurex-Simu-LeasedLine  | 4.47 MB            |  |
| Eurex-Simu-Internet  | 4.47 MB            |  |
| Description<br>T7 GUi Launcher for Eurex-Simu-LeasedLine               |                    |  |
| Total space required:  | 8.93 MB            |  |
| Available space:   | 819.43 GB          |  |
| (Made with IzPack - http://izpack.org/)                                | Previous Next Quit |  |

Clicking on "Next" will run the actual installation and copy the files to the designated target directory.

On the next screen, the location and the name of the Program Group for the T7 GUI shortcuts in the Windows Start-Menu can be selected as well as the option to display the Shortcuts to all users using the computer, or only to the one running the installation.

| IzPack - Installation of Eurex Simu        |   | – 🗆 ×                         |
|--|---|-------------------------------|
| Setup Shortcuts                            |   |                               |
|  |   |                               |
| 🗹 Create shortcuts in the Start-Menu       |   |                               |
| Create additional shortcuts on the desktop |   |                               |
| Select a Program Group for the Shortcuts:  |   |                               |
| (Default)                                  | ^ | create shortcut for:          |
| Accessibility                              |   | current user                  |
| Accessories                                |   | <ul> <li>all users</li> </ul> |
| Administrative Tools                       | ~ |                               |
| T7 GUIs                                    |   | Default                       |
| (Made with IzPack - http://izpack.org/)    |   | Previous Next Quit            |

Finalize installation routine by clicking on "Next"

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#### 3.2.4 Create xml file for unattended installation

In the final installation window (see picture below), the installer offers an option to generate an automatic installation script to be able to install on a number of further computers in an unattended mode.

The unattended installation will take all choices made during the initial manual installation from this XML file and apply them to the new installation.

| HIZPack - Installation of Eurex Simu    |  | _ |      | ×  |
|---|--|---|------|----|
| Installation Finished                   |  |   |      |    |
|   |  |   |      |    |
| (                                       | Installation has completed successfully.         |   |      |    |
| (                                       | An uninstaller program has been created in:      |   |      |    |
|   | C:\Software\T7-GUI-Launcher\Uninstaller          |   |      |    |
| (                                       | <b>Generate an automatic installation script</b> |   |      |    |
|   |  |   |      |    |
|   |  |   |      |    |
| (Made with IzPack - http://izpack.org/) |  |   | 🕝 Do | ne |

Click on "Generate an automatic installation script".

Select file name and location of the XML file to be created.

|                  | 22  |
|------------------|---|
| T7 GUI Launcher  | • 🔒 💼 💷   |
|                  |   |
|                  |   |
|                  |   |
|                  |   |
|                  |   |
|                  |   |
| auto-install.xml | ]   |
|                  | ×   |
| Lateras          |   |
|                  | (Save ) (Cancel )   |
|                  | T7 GUI Launcher r ninformation auto-install.xml All Files |

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#### 3.2.5 Performing unattended installations

During installation in unattended mode, the installation program reads the configuration settings from an XML file created in a previous manual installation (see previous step). The installation program does not display any configuration options during the installation process

Follow these steps to start the unattended installation:

- Create the XML file (see 3.2.4) mentioned above.
- Place the XML file next to the T7 GUI Installer .jar
- Launch the installation by entering the following command: o java -jar .\Eurex\_Simu.jar .\auto-install.xml
- An Installer window is displayed, indicating that the files are being extracted
- Once the installation completes, the setup will be identical to the one described in the referenced XML file, including shortcut location and binary/application directory

### 3.3 Local files – T7 GUI Launcher

Local files can be divided into two general and quite distinct categories:

- Files belonging to the T7 GUI Launcher. These files control the mechanism for updating and starting the various T7 GUIs (i.e. log files written from the update mechanism, configuration files pointing to the Deutsche Börse software update servers, batch files setting environment variables to choose a specific Java Runtime)
- Files belonging to the T7 GUIs themselves. These files relate to aspects of the T7 GUI actually running (i.e. log files written by the GUI, configuration files containing GUI connection details)

This chapter describes the files required by the T7 GUI Launcher. Please see chapter 4.3 for a description of local files required by or written by the T7 GUIs themselves.

#### 3.3.1 T7 GUI Launcher, Directory overview

The installation path for most T7 GUI Launcher packages defaults to C:\Program Files\T7-GUI-Launcher, but can be changed during the installation. The following descriptions assumes an installation into this default installation path.

| C:\Program Files\T7-GUI-Launcher | Default installation path           |
|----------------------------------|-------------------------------------|
| .\DR                             | Launcher for Disaster Recovery GUIs |
| .\Prod                           | Launcher for Production GUIs        |
| .\Simu                           | Launcher for Simulation GUIs        |
| .\Uninstaller                    | Files for uninstalling the launcher |
|                                  |                                     |

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Within the directory for a given environment (Prod, Simu, DR) the individual market and connection option packages will be installed. Example for Simu:

| C:\Program Files\T7-GUI-Launcher\Simu | Simulation environment packages       |
|---------------------------------------|---------------------------------------|
| .\Eex-Simu-Internet                   | Package for EEX, Internet access      |
| .\Eurex-Simu-Internet                 | Package for Eurex, Internet access    |
| .\Xetra-Simu-LeasedLine               | Package for Xetra, Leased Line access |
|                                       |                                       |

These directories are created, as they are needed depending on the packages being installed. The directory ".\Prod" will only be created when at least one package for the production environment is installed. The directory ".\Eex-Simu-Internet" will only be created, when the EEX package for Simulation is installed and the connection option "Internet" has been selected for this package.

Within the directory for each specific package, several files will be created:

```
C:\Program Files\T7-GUI-Launcher\Simu\Eurex-Simu-Internet
                                                                         Specific package
                                                                         Batch script to start
                      .\<Market><GUI>Launcher.bat
                                                                          a specific GUI
                      .\setenv.bat
                                                                         Batch script to set a
                                                                         specific JRE
                                                                         Proxy details if not
                      .\proxy.txt
                                                                         detected
                                                                         automatically
                                                                         Logfile of the
                      .\launcher.log
                                                                         launcher
                      .\getdown.txt
                                                                         Internal config files
                      .\digest.txt
                      .\digest2.txt
                                                                         Actual GUI launcher
                      .\gui-launcher.jar
                                                                         application
                                                                         directory with image
                      .\images
                                                                         resources (expect
                                                                         300- 400 KB per GUI
                                                                         and market)
```

As the purpose of the GUI Launcher is to keep all these files up to date, the user running the GUI Launcher needs full read and write access to all these files.

Each of these files are described in detail in the following chapters.

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Once the T7 GUI launcher has been run the first time and actual T7 GUI binaries are downloaded, these binaries will be downloaded to a directory ".\lib" in the environment specific directory:

```
C:\Program Files\T7-GUI-Launcher\Simu\lib
```

.\et7guis.jar

T7 GUI binaries

Multiple Java packages (.jar files) expect around 60 MB per GUI type and market.

.\.....jar

#### Please note:

As the OS user, running the GUI Launcher will be used to download updated components of the GUI and the Launcher itself, this OS user needs full read and write access to the directory "T7-GUI-Launcher" in the example above.

All files described above will be read and updated (= written) over time by the T7 GUI Launcher.

#### 3.3.2 EurexTraderLauncher.bat (Launcher.bat for each market and GUI type)

A number of batch files will be used to launch the actual T7 GUIs. The desktop shortcuts created by the installer link to these batch files. For example for Eurex the following batch files will be created:

- EurexTraderLauncher.bat
- EurexAdminLauncher.bat
- EurexControlLauncher.bat

These batch files create some prerequisites localizing the default Java Runtime Environment installed on the client computer. These files should not be edited.

Furthermore these batch files include a customizable batch file "setenv.bat" (see next chapter), which allows to specify a dedicated JRE.

#### 3.3.3 setenv.bat

This batch file allows a Java Runtime Environment dedicated for the T7 GUIs which differs from the default JRE to be specified:

SET T7\_JAVA\_HOME=<path to JRE>

Edit setenv.bat to add the path to the desired JRE installation.

**Important:** when assigning a path containing spaces <u>do not</u> encapsulate the path with quotation marks !

In such a case the assignment has to be done by

SET T7\_JAVA\_HOME=C:\Program Files\Java\_T7

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and <u>not</u> by

SET T7 JAVA HOME="C:\Program Files\Java T7"

#### 3.3.4 proxy.txt

The T7 GUI Launcher automatically tries to detect a local proxy server . If the automatic detection fails, the T7 GUI Launcher will prompt for the details (IP address and port) of the local proxy server.

Once entered, the T7 GUI Launcher will store these details in the file proxy.txt.

#### 3.3.5 launcher.log

Once the T7 GUI Launcher has been started by starting the specific launcher batch file. i.e. EurexTraderLauncher.bat, the launcher will write a log file documenting its progress. The file launcher.log can be used for debugging issues and may be requested by Customer Technical Support.

#### 3.3.6 getdown.txt, digest.txt, digest2.txt

The files getdown.txt, digest.txt and digest2.txt contain details for the T7 GUI Launcher regarding the download of newer versions of the T7 GUIs. digest2.txt contains SHA256 hashes and supersedes digest.txt which contains MD5 hashes. digest.txt with MD5 hashes will be deprecated at some point in the future.

The servers with the GUI binaries are specified here as well as the details and checksums of the individual GUI modules.

These files should not to be changed. Changing these files in any way will cause the T7 GUI Launcher mechanism to fail.

#### 3.3.7 Automatic file validity verification

The getdown mechanism used to download and automatically update the T7 GUIs, compares many of the locally installed files with files on Deutsche Börse servers. If a newer file is found on the Deutsche Börse servers, this newer file will be downloaded to the client computer.

If the local file matches the file on Deutsche Börse's servers, it is verified for integrity. A successful comparison and verification is indicated by an empty file (zero bytes) with the same filename as the original file plus the letter "v" added at the end of the filename.

For example:

| C:\Program Files\T7-GUI-Launcher\Simu\Eurex-Simu-Internet | Specific package                        |
|---|---|
| .\EurexTraderLauncher.bat                                 | Batch script to start<br>a specific GUI |
| .\EurexTraderLauncher.batv                                | validation indication                   |

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#### Another example:

```
C:\Program Files\T7-GUI-Launcher\Simu\lib GUI Java files
.\et7guis.jar actual GUI java file
.\et7guis.jarv validation indication
```

These validation indication files can safely be ignored for daily operations of the T7 GUI Launcher.

#### 3.3.8 Direct call of the gui-launcher.jar

In case the standard configuration of the T7 GUI Launcher causes a conflict with the specific infrastructure in a given client environment, it is possible to bypass the provided mechanisms of Launcher.bat and setenv.bat files and the T7\_JAVA\_HOME variable entirely.

The procedures described in this chapter are only to be considered if the standard installation and usage of the provided Launcher.bat files is not possible. Solid understanding of systems of the local operating system are required.

In such a scenario it is possible to craft a call to the gui-lancher.jar directly and adapt it to most local requirements.

javaw -jar gui-launcher.jar <app\_dir> <app\_id>

#### where:

<app\_dir> -> specifies the working directory of the launcher. The launcher expects the following files present, readable and writable (!) in the directory specified:

- .\digest.txt
  .\digest2.txt
- .\getdown.txt
- .\images\\*.\*

(contains various graphics to display during the GUI launch. Expect 300 – 400 KB per GUI and market.)

<app\_id> -> specifies the type of GUI to be launched. Either one of these:

- admin
- clearer
- trader

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The launcher will write the following files and needs write access to

.\launcher.log
..\lib\\*.jar

(downloaded various GUI binary files. Expect about 60 MB per GUI type and market.)

Additionally, the T7 GUI Launcher needs read and write access to all files in its own directory (i.e. digest.txt, digest2.txt, getdown.txt and all Launcher.bat files, as well as gui-launcher.jar itself) to be able to perform updates.

When calling gui-launcher.jar directly, the desired Java Runtime needs to be specified (either by use of environment variables PATH, or by calling a given path directly).

<u>Please note</u>: when calling gui-launcher.jar directly, as described here, neither the environment variable JAVA\_HOME, nor T7\_JAVA\_HOME play any role any longer.

By using this direct call (instead of the provided Launcher.bat files) it is possible to explicitly specify

- Java runtime of choice
- Install location of the T7 GUI Launcher (gui-launcher.jar)
- Working directory of the T7 GUI Launcher, which defines
  - o download location for the actual T7 GUI binaries (JAR)
    - o location of launcher log and config files

#### Example:

```
"C:\Program Files\Java_T7\bin\javaw" -jar D:\WORKSPACE\T7-GUI-
Launcher\Simu\Eurex-Simu-Internet\gui-launcher.jar D:\WORKSPACE\T7-GUI-
Launcher\Simu\Eurex-Simu-Internet
```

The line above calls a Java Runtime located in C:\Program Files\Java\_T7 (expecting a .\bin directory there with the Java executables). For example, the provided Azul Zulu JRE could be specified if installed.

This designated Java Runtime is asked to execute the gui-launcher.jar which has been installed to D:\WORKSPACE\T7-GUI-Launcher\Simu\Eurex-Simu-Internet in this example.

The T7 GUI Launcher is then asked to take D:\WORKSPACE\T7-GUI-Launcher\Simu\Eurex-Simu-Internet as his working directory (expecting to find files digest.txt, digest2.txt, getdown.txt and an images folder there).

It will download the GUI JARs to D:\WORKSPACE\T7-GUI-Launcher\Simu\lib (actually the path is D:\WORKSPACE\T7-GUI-Launcher\Simu\Eurex-Simu-Internet\..\lib - but that results in the same.)

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## 4. Running the T7 GUIs

## 4.1 T7 GUI Desktop Shortcuts replacing Landing Pages

As the T7 GUI Launcher replaces the deprecated Java Web Start mechanism, new T7 GUI Desktop shortcuts replace the T7 GUI Landing Pages for the purpose of starting the T7 GUIs.

These shortcuts are installed by the T7 GUI launcher package (see 3.2.3) in the location chosen during the installation. Shortcuts can be placed in various locations, i.e. the Windows Start menu, or on the desktop. The T7 GUI Launcher installs an individual icon for each T7 GUI in each environment and market place. These icons can still be changed after the installation by icons of the user's choice.

#### 4.1.1 Type of GUI

Each market consists of a set of desktop shortcuts (T7 Admin GUI, T7 Clearer GUI and T7 Trader GUI). Over all markets and environments, the main pictograms identify the function of the specific T7 GUI:



- The Trader GUI is depicted by a trading chart
- The Clearer GUI is depicted by two interchanging arrows
- The Admin GUI is depicted by a cogwheel

#### 4.1.2 Market Place / Market

A constantly growing number of stock exchanges is hosted on the T7 trading system. These are organised into "market places" consisting of several markets.

Each "market place" has a dedicated set of T7 GUI Icons, which display a distinct logo for the market place.

Example: while the market place "Eurex" hosts the markets "Eurex" and "EEX", the market place "Wiener Börse" hosts five markets: Vienna Stock Exchange and Vienna's partner exchange, which are the Budapest Stock Exchange, Ljubljana Stock Exchange, Prague Stock Exchange and Zagreb Stock Exchange.



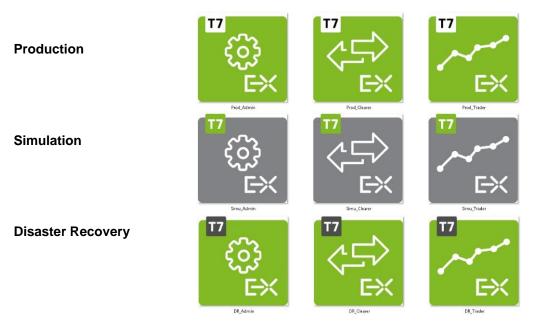
Market Place specific shortcuts (e.g. Xetra Trader)

#### 4.1.3 Type of Environment

Each set of GUI function specific icons exists for three different environments: Production, Simulation and Disaster Recovery.

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#### 4.1.4 Colour code and reasoning behind it

The colour concept makes it easier to distinguish between the different environments

| ٠ | Production:        | Market specific colour | white T7 logo                         |
|---|--------------------|------------------------|---------------------------------------|
| ٠ | Simulation:        | Grey logo background   | T7 logo in the market specific colour |
| ٠ | Disaster Recovery: | Market specific colour | black T7 logo                         |

The Simulation and Production environments are available at the same time in parallel and are most frequently used by the same users. The lack of colour clearly identifies the Simulation icons and allows for easy association.

The Disaster Recovery and Production environments fulfil the same role as production markets with actual trading. The DR and Production environments will never be active at the same time as DR is only started in case of a fatal disaster on the Production environment. Hence, the DR and Production share the same colour scheme and differ only in the white vs black "T7" logo background.

Users of the T7 GUIs can replace the shortcut icons with icons of their own choice.

## 4.2 Initial setup of the T7 GUI

Clicking on one of the T7 GUI shortcuts (see 4.1) will initiate the T7 GUI Launcher mechanism, using the getdown application, to download the T7 GUI in form of a .jar file from the T7 GUI Launcher server. The T7 GUI Launcher will verify if the current version of the GUI is already present in the local cache of the participant's client computer.

If the latest version has already been downloaded during a previous launch, the cached version will be used and no further download will be necessary. If a newer version of the GUI is available on the T7 GUI Launcher server, it will be downloaded automatically and stored in the local T7 GUI Launcher cache to be used in the future.

After the download has been completed, the T7 GUI Login Windows appears

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| M Eurex GMC Operator ACCEPTANCE    |   |   |        |
|------------------------------------|---|---|--------|
| Application Wew Configuration Help |   |   | EX     |
| Welcome View                       | Info & Support  | Log   | ¢ 6回❷× |
|                                    | Trader Activity Monitor Sessions  | Log List  |        |
|                                    | Eurex GMC Operator Master Log<br>Plesse note:<br>You must be the registered owner of t<br>to log on.<br>Functional-Helpdesk Trading: +49 (0<br>Plesse enter your master account pas | he Trader-ID that you use   |        |
|                                    | Master User<br>Master Password<br>Private Client Key Password   | <ul> <li>Login</li> <li>Login Without Settings</li> <li>Loginit</li> <li>Change Master Password</li> <li>Close</li> </ul> |        |
|                                    | Network Connection Settings<br>Info<br>PIN  | *<br>*<br>*   |        |
|                                    |   |   |        |
|                                    |   |   |        |

For further information with regard to the Network Connection Settings, please refer to chapter 4.4.

#### 4.2.1 Verify local settings

Local settings can easily be verified within the GUI itself. Open a Login view and click on the "Info" bar at the bottom of the window:

| Eurex Trader Master Login Please note: You must be the registered owner of the Trader-ID that you use to log on. Functional-Helpdesk Trading: +49 (0)69-211-11210 |  | Xetra Trader Master Login         Please note:         You must be the registered owner of the Trader-ID that you use to log on.         Market Supervision Cash Trading: +49 (0)69-211-11400         Please enter your master account user name. |  |  |
|---|--|---|--|--|
| Master User   | <ul> <li>Login</li> <li>Login Without Settings</li> <li>Logout</li> <li>Change Master Password</li> <li>Close</li> </ul> | Master User GDBXXITCRBR_GUI Master Password Private Client Key Password   | <ul> <li>Login</li> <li>Login Without Settings</li> <li>Logout</li> <li>Change Master Password</li> <li>Close</li> </ul> |  |
| Network Connection Settings<br>Info<br>PIN  | *<br>*<br>*  | Network Connection Settings<br>Info<br>PIN  | *<br>*<br>*  |  |

The window will expand and display a list of the settings currently used by the GUI store and read files in the client computer's file system. The information displayed varies depending on the state of your login (after a successful login more information is displayed, e.g. Business Unit and T7 Access Point)

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## 4.3 Local files – T7 GUI

All T7 GUIs store setup information and log files on the local client computer. The log files for the different T7 GUIs have distinguishable filenames like "Eurex Trader.log", "Xetra Trader Vienna.log", "Xetra Admin.log".

The GUI setup information has similarly distinguishable filenames: "eurex-trader.properties", or "xetra-admin.properties".

The default location is in the operating system user's home directory in a sub folder "gmc" (working directory)

C:\users\<user>\gmc

This folder contains sub folders for production, simulation and DR. Each of the before mentioned directories includes another folder "etc" for the GUI configuration (settings directory) and a folder "var\log" for the GUI log files (log directory).

All directory paths stated above can easily be changed by the use of operating system environment variables.

<u>*Important*</u>: Avoid using a network directory here, since any kind of network directory will impact the application performance significantly!

| Directory          | Environment Variable | Default  |
|--------------------|----------------------|--|
| Working directory  | GMC_WORKING_DIR      | ~\gmc\ <environment></environment>                                     |
| Settings directory | GMC_SETTINGS_DIR     | ~\ <gmc_working_dir>\<environment>\etc</environment></gmc_working_dir> |
| Log directory      | GMC_LOG_DIR          | ~\ <gmc_working_dir>\<environment>\log</environment></gmc_working_dir> |

#### Example, MS Windows:

SET GMC\_WORKING\_DIR=N:\home\gmc\

ECHO %GMC\_WORKING\_DIR%

Please see chapter 3.3 for the local files created by the T7 GUI Launcher and the location of the actual T7 GUI binaries.

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## 4.4 Network

The connection to the T7 trading system can be made through the Internet, with or without a local proxy server (with or without authentication) or over a leased line (dedicated network connection to the Deutsche Börse Group back end network).

#### 4.4.1 Bandwidth requirements

Per concurrent T7 Trader GUI bandwidth consumption approximately 330 Kbit/s has to be expected. Bandwidth usage is mainly driven by market data updates and depends on the selected instrument profile. As the T7 Admin GUI and the T7 Clearer GUI do not receive any market data the bandwidth requirement of the T7 Admin-, or Clearer GUI is considerably lower, with the T7 Clearer GUI bandwidth depending on the number of trades reported.

Currently offered bandwidth options for GUI channels on leased lines:

| 1 Mbit  | ~ | estimated for 3 T7 Trader GUIs               |
|---------|---|--|
| 3 Mbit  | ~ | estimated for 10 T7 Trader GUIs              |
| 5 Mbit  | ~ | estimated for 15 T7 Trader GUIs (Eurex only) |
| 10 Mbit | ~ | estimated for 30 T7 Trader GUIs              |
| 40 Mbit | ~ | estimated for 120 T7 Trader GUIs             |

<u>Please note</u>: during startup, the T7 GUI will load a set of reference data. This may consume higher bandwidths, if available, for a brief time.

#### 4.4.2 IP addresses, FQDN URLs and DNS Servers

The old launching mechanism (landing page > download JNLP file > Java Web Start) relies mostly on configuration files, which specified network resources via IP addresses.

In few cases, trading participants may require the T7 GUI to run without static IP addresses, but rather the fully qualified domain names (FQDN) to specify the various network resources. Therefore, Deutsche Börse Group offers a FQDN version of the T7 GUI Landing Pages. On these pages the configuration files specify network resources only by their fully qualified domain names.

The differentiation between FQDN based configuration and IP based configuration affects only users of the old launching mechanism (landing page > download JNLP file > Java Web Start).

The new T7 GUI launcher and T7 GUIs started by the <u>T7 GUI launcher always specify network</u> <u>resources with their fully qualified domain names only</u>. All fully qualified domain names are resolvable via public DNS servers.

Client computers running the T7 GUIs via T7 GUI Launcher need to be able to reach public DNS servers, or must be able to resolve the following FQDNs by other, local, means (i.e. hosts files).

#### T7 GUI Launcher & Landing Pages

| FQDN                   | IP            | Markets             | Environment | Connectivity |
|------------------------|---------------|---------------------|-------------|--------------|
| webgui.eurexchange.com | 193.29.90.190 | Derivatives markets | Prod & Simu | Internet     |

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| webgui.vpn.eurexchange.com | 193.29.93.173 | Derivatives markets | Prod & Simu | Leased Line |
|----------------------------|---------------|---------------------|-------------|-------------|
| webgui.xetra.com           | 193.29.90.189 | Cash markets        | Prod & Simu | Internet    |
| webgui.vpn.xetra.com       | 193.29.93.174 | Cash markets        | Prod & Simu | Leased Line |

## T7 GUI "Crypto Proxies"

| FQDN                           | IP              | Markets      | Environment | Connectivity  |
|--------------------------------|-----------------|--------------|-------------|---------------|
| guiproxyp1.eurexchange.com     | 193.29.90.203   | Eurex / EEX  | Production  | Internet      |
| guiproxyp2.eurexchange.com     | 193.29.90.204   | Eurex / EEX  | Production  | Internet      |
| guiproxyp3.eurexchange.com     | 193.29.90.221   | Eurex / EEX  | Production  | Internet      |
| guiproxyp4.eurexchange.com     | 193.29.90.222   | Eurex / EEX  | Production  | Internet      |
| guiproxyp1.vpn.eurexchange.com | 193.29.91.225   | Eurex / EEX  | Production  | Leased Line A |
| guiproxyp3.vpn.eurexchange.com | 193.29.91.226   | Eurex / EEX  | Production  | Leased Line A |
| guiproxyp2.vpn.eurexchange.com | 193.29.93.225   | Eurex / EEX  | Production  | Leased Line B |
| guiproxyp4.vpn.eurexchange.com | 193.29.93.226   | Eurex / EEX  | Production  | Leased Line B |
|                                |                 |              |             |               |
| guiproxys1.eurexchange.com     | 193.29.90.235   | Eurex / EEX  | Simulation  | Internet      |
| guiproxys2.eurexchange.com     | 193.29.90.236   | Eurex / EEX  | Simulation  | Internet      |
| guiproxys1.vpn.eurexchange.com | 193.29.89.225   | Eurex / EEX  | Simulation  | Leased Line A |
| guiproxys2.vpn.eurexchange.com | 193.29.95.225   | Eurex / EEX  | Simulation  | Leased Line B |
|                                |                 |              |             |               |
| guiproxyp5.eurexchange.com     | 193.29.90.219   | T7/FX        | Production  | Internet      |
| guiproxyp6.eurexchange.com     | 193.29.90.220   | T7/FX        | Production  | Internet      |
| guiproxyp6.vpn.eurexchange.com | 193.29.91.227   | T7/FX        | Production  | Leased Line A |
| guiproxyp6.vpn.eurexchange.com | 193.29.93.227   | T7/FX        | Production  | Leased Line B |
|                                |                 |              |             |               |
| guiproxys1.eurexchange.com     | 193.29.90.235   | T7/FX        | Simulation  | Internet      |
| guiproxys2.eurexchange.com     | 193.29.90.236   | T7/FX        | Simulation  | Internet      |
| guiproxys1.vpn.eurexchange.com | 193.29.89.225   | T7/FX        | Simulation  | Leased Line A |
| guiproxys2.vpn.eurexchange.com | 193.29.95.225   | T7/FX        | Simulation  | Leased Line B |
|                                |                 |              |             |               |
| guiproxyp1.xetra.com           | 193.29.90.217   | Cash markets | Production  | Internet      |
| guiproxyp2.xetra.com           | 193.29.90.218   | Cash markets | Production  | Internet      |
| guiproxyp1.vpn.xetra.com       | 185.102.253.161 | Cash markets | Production  | Leased Line A |
| guiproxyp2.vpn.xetra.com       | 185.102.253.177 | Cash markets | Production  | Leased Line B |
|                                |                 |              |             |               |
| guiproxys1.xetra.com           | 193.29.90.233   | Cash markets | Simulation  | Internet      |
| guiproxys2.xetra.com           | 193.29.90.234   | Cash markets | Simulation  | Internet      |
| guiproxys1.vpn.xetra.com       | 193.29.94.225   | Cash markets | Simulation  | Leased Line A |
| guiproxys2.vpn.xetra.com       | 193.29.94.233   | Cash markets | Simulation  | Leased Line B |

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#### 4.4.3 Network details, T7 GUI Launcher

Transferring the T7 GUI in form of a JAR file via T7 GUI Launcher mechanism is based on TCP/IP on port 443, SSL <u>only</u>. To serve that purpose, two sets of getdown servers are used: One for Internet and one for leased line connections.

| Connection option  | URL of getdown Servers     | Ports | Protocol |  |
|--|----------------------------|-------|----------|--|
| Eurex T7, Eurex T7 FX & EEX T7                           |                            |       |          |  |
| Internet   | webgui.eurexchange.com     | 443   | TCP/IP   |  |
| Leased line  | webgui.vpn.eurexchange.com | 443   | TCP/IP   |  |
| Xetra T7 & Xetra Vienna T7 (including Partner Exchanges) |                            |       |          |  |
| Internet   | webgui.xetra.com           | 443   | TCP/IP   |  |
| Leased Line  | webgui.vpn.xetra.com       | 443   | TCP/IP   |  |

These are the same servers which host the T7 GUI Landing Pages.

As soon as the download of the JAR file has been completed or the most recent version has been found on the local hard drive, it will be started by the local Java Runtime Environment (JRE).

Once the T7 GUI application has been started within the local Java Virtual Machine the network communication between the client computer and the receiving proxy servers within the T7 trading architecture will be done on the ports listed below.

| Environment | Connection option              | Host (FQDN)  | Ports:<br>Trader GUI | Ports:<br>Admin GUI | Protocol |
|-------------|--------------------------------|--|----------------------|---------------------|----------|
| Production  | Internet                       | guiproxyp1.eurexchange.com<br>guiproxyp2.eurexchange.com<br>guiproxyp3.eurexchange.com<br>guiproxyp4.eurexchange.com                 | 80                   | 80                  | TCP/IP   |
|             | Leased line A<br>Leased line B | guiproxyp1.vpn.eurexchange.com<br>guiproxyp3.vpn.eurexchange.com<br>guiproxyp2.vpn.eurexchange.com<br>guiproxyp4.vpn.eurexchange.com | 8089                 | 80                  | TCP/IP   |
| Simulation  | Internet                       | guiproxys1.eurexchange.com<br>guiproxys2.eurexchange.com   | 80                   | 80                  | TCP/IP   |
|             | Leased line A<br>Leased line B | guiproxys1.vpn.eurexchange.com<br>guiproxys2.vpn.eurexchange.com   | 8089                 | 80                  | TCP/IP   |

#### Eurex T7 & EEX T7

#### Eurex T7 FX

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| Environment | Connection<br>option           | Host (FQDN)  | Ports:<br>Trader<br>GUI | Ports:<br>Admin<br>GUI | Protocol |
|-------------|--------------------------------|--|-------------------------|------------------------|----------|
| Production  | Internet                       | guiproxyp5.eurexchange.com<br>guiproxyp6.eurexchange.com         | 81                      | 81                     | TCP/IP   |
|             | Leased line A<br>Leased line B | guiproxyp6.vpn.eurexchange.com<br>guiproxyp5.vpn.eurexchange.com | 8090                    | 81                     | TCP/IP   |
| Simulation  | Internet                       | guiproxys1.eurexchange.com<br>guiproxys2.eurexchange.com         | 81                      | 81                     | TCP/IP   |
|             | Leased line A<br>Leased line B | guiproxys1.vpn.eurexchange.com<br>guiproxys2.vpn.eurexchange.com | 8090                    | 81                     | TCP/IP   |

#### Xetra T7 & Xetra Vienna T7 (including Partner Exchanges)

| Environ-ment | Connection<br>option           | IP addresses   | Ports:<br>Trader<br>GUI | Ports:<br>Admin<br>GUI | Protocol |
|--------------|--------------------------------|--|-------------------------|------------------------|----------|
| Production   | Internet                       | guiproxyp1.xetra.com<br>guiproxyp2.xetra.com         | 80                      | 80                     | TCP/IP   |
|              | Leased line A<br>Leased line B | guiproxyp1.vpn.xetra.com<br>guiproxyp2.vpn.xetra.com | 8089                    | 80                     | TCP/IP   |
| Simulation   | Internet                       | guiproxys1.xetra.com<br>guiproxys2.xetra.com         | 80                      | 80                     | TCP/IP   |
|              | Leased line A<br>Leased line B | guiproxys1.vpn.xetra.com<br>guiproxys2.vpn.xetra.com | 8089                    | 80                     | TCP/IP   |

If a firewall is in place, the ports listed above need to be configured. All connections will be opened only from the client side to the Deutsche Börse Group servers. Please note that traffic over the Internet will be encrypted. Although port 80/81 or 8089/8090 is being used, firewalls will not be able to read or inspect the content (payload) of the TCP/IP packets sent on this port.

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#### 4.4.4 Leased line network aspects

For leased lines connections the outbound TCP/IP address for T7 GUI connections is expected to be within the assigned member LAN.

The technical implementation can be performed by placing a proxy server in the member LAN, taking on one side the connections to the trading desks and on the other side residing in the assigned member LAN.

Alternatively, network address translation (NAT) protocols (static or dynamic) can be implemented in the trading participant's network to shield the in-house network addresses and translate them to addresses in the assigned member LAN.

Routes to the leased line networks listed in the table in the previous chapter need to be present on the client computer. Please note that these should point to the leased lines and not to the Internet.

If present, local Internet proxy servers should implement an exception to access the leased line networks. This can be configured in .pac files of most proxy servers by commanding direct connections to the specified network/host:

if ( isInNet( host, "webgui.vpn.eurexchange.com", "255.255.255.255" ))
{return "DIRECT";}

For leased line connections, SSH authentication is not required or possible.

#### 4.4.5 Internet connections aspects, public key pair authentication

GUI connections established over the Internet require an additional security level for encryption and authentication, which is not present for leased line connections.

An SSH-2 key pair has to be created and the public key has to be uploaded to Deutsche Börse Group via the web portal in the Member Section of <u>https://member.eurexchange.com</u> or <u>https://member.deutsche-boerse.com</u>. Please see chapter 4.5 for detailed instructions on how to create an SSH key pair and how to upload the public key.

The SSH key pair is used just for authentication. The T7 GUI solutions do not establish an SSH tunnel, but encrypt the payload of the network packets on application level.

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#### 4.4.6 Local proxy servers

Customers may deploy their own proxy servers for connection via Internet or via leased lines.

#### T7 GUI Launcher

Clicking on any of the presented T7 GUI shortcuts will trigger the T7 GUI Launcher process. The T7 GUI Launcher will determine local proxy settings automatically. If for any reason the proxy cannot be determined, a dialogue box will appear were you can create the entries manually.

| T7 Eurex GUIs  | _ |  | × |  |  |
|--|---|--|---|--|--|
| We were unable to connect to our servers to download the application data.   |   |  |   |  |  |
| <ul> <li>If Windows Firewall or Norton Internet Security was instructed to block<br/>javaw.exe we cannot download the application. You will need to allow<br/>javaw.exe to access the internet. You can try running the application<br/>again, but you may need to clear javaw.exe from your firewall configuration<br/>(Start -&gt; Control Panel -&gt; Windows Firewall).</li> </ul> |   |  |   |  |  |
| Your computer may access the Internet through a proxy and we were unable to automatically detect your proxy settings. If you know your proxy settings, you can enter them below.   |   |  |   |  |  |
| Proxy IP   |   |  |   |  |  |
| Proxy port   |   |  |   |  |  |
| If you are sure that you don't use a proxy then perhaps there is a temporary Internet<br>outage that is preventing us from communicating with the servers. In this case, you<br>can cancel and try installing again later.   |   |  |   |  |  |

The information entered here will be stored in the file "proxy.txt" in the T7 GUI Launcher directory:

host = 1.2.3.4port = 8080

#### <u>T7 GUIs</u>

If present, specify a local proxy server in the T7 GUI on the 2<sup>nd</sup> tab of the Network Connection Settings dialog (see chapters 6.2 and 6.4). If your proxy server requires authentication, please specify username and password for your proxy authentication too.

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| Network Connection Settings | *        |
|-----------------------------|----------|
| O Direct Connection         |          |
| Use OS Settings             |          |
| O Use Proxy Server          |          |
| Address                     |          |
|                             |          |
| Port User                   | Password |
|                             |          |
| 🇯 Detect Proxy              | ≫ N      |
| Network Proxy Security      |          |
| Info                        | *        |
| PIN                         | *        |
|                             |          |
|                             |          |

<u>Please note</u>: detailed proxy settings can be specified within this dialog, by activating "Use Proxy Server". Alternatively, proxy settings can be copied automatically from the operating system (Control Panel  $\rightarrow$  Network and Internet  $\rightarrow$  Internet Options  $\rightarrow$  Connections  $\rightarrow$  LAN Settings) by activating "Use OS Settings". When no local proxy is used at all for the T7 GUI connection, "Direct Connection" has to be selected.

The following general proxy server requirements are needed for running the T7 Admin-, T7 Trader-, or T7 Clearer GUI via proxy:

- proxy must accept "HTTP 1.1"
- proxy must understand header "Proxy-Connection" and "Connect" with value "keep-alive"
- proxy must understand header "Transfer-Encoding" with value "chunked"

Known proxy server versions and limitations

- commercial proxy **BlueCoat** 
  - Version SGOS 4.3, 5.4, 5.5 and 6.x known to be good
  - Version SGOS 4.2 known to be <u>not</u> working (End of live was 2010 according to BlueCoat)
- Open Source proxy Squid
  - Version 3.2.x or newer known to be good (4.1 being most recent as of writing of this document)
  - o Incoming port must be configured for http in squid.conf

To guarantee maximum performance and most problem-free operation of the T7 GUIs, the following general recommendations can be made:

• T7 GUIs encrypt all traffic from and to the exchange. Due to this, the traffic cannot be inspected by firewalls. Firewalls sensitive to this need to be configured to allow encrypted

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traffic from and to the T7 IP addresses and ports. Content scan for the T7 GUI traffic needs to be disabled.

- The protocols used by the T7 GUI can be sensitive to connection interruptions. It is recommended to configure traffic to the T7 GUI IP addresses and ports with an increased priority.
- In certain scenarios, proxy servers requiring authentication themselves can cause problems in the T7 GUI communication (http 407 error). If not absolutely required by local security policy it is recommended to run the T7 GUIs via proxies that do not require authentication.

In general, the main method of communication of the T7 GUI, once they are downloaded and running on the client computer is the protocol "WebSocket" (see RFC 6455 and 7936 for details). WebSocket allows near real-time communication between the GUI application and the exchange servers.

WebSocket replaced the "Long Polling" (see RFC 6202 for details) communication method which was used by earlier versions of the T7 GUIs (Release 1.0 - 3.0), but can still be used by the T7 GUIs as a fall-back option.

### 4.5 Disaster Recovery

Dedicated desktop shortcuts exist which have to be used in case of a disaster recovery scenario. These shortcuts are to be used in case the regular T7 trading back end becomes fully unavailable and Deutsche Börse Group has to run the markets from a disaster recovery data center. See the document "T7 Disaster Recovery Concept" for further details about the disaster scenario. The document "T7 Disaster Recovery Concept" can be found on the Eurex and Xetra homepages under "System documentation > Network Access".

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## 5. SSH key pair generation

The following chapter applies only for customers who intend to run the T7 Trader GUI, T7 Admin GUI, or the T7 Clearer GUI via the native Internet. T7 GUI connections over leased lines do not require any SSH key pair authentication.

To generate an SSH-2 public/private key various freeware tools are available for download in the Internet, for instance:

- PuTTYgen.exe (available for MS Windows),
- ssh-keygen from <a href="http://www.openssh.org">http://www.openssh.org</a> for various operating systems.

The key generation process will produce both the public and the private key.

Key pairs can be created either for an entire business unit or for individual traders. Key generation for both options is identical. The use of the keys (business unit vs. individual traders) is determined during upload into the Member Section as outlined in chapter 3.4.

To help associate keys with business unit or individual member IDs it is suggested to use corresponding filenames as indicated in the examples below.

**IMPORTANT**: Each key private must be protected with a passphrase. This is mandatory for the T7 simulation and for production environments.

## 5.1 Private and public key with OpenSSH (ssh-keygen)

After installing OpenSSH type the following command on the command line to create both, a public and a private key (in this example with a length of 3060 bytes):

```
$ ssh-keygen -t rsa -b 3060 -N <passphrase> -f /home/username/.ssh/
MEMBRTRD001
Generating public/private rsa key pair.
Your identification has been saved in /home/username/.ssh/ MEMBRTRD001.
Your public key has been saved in /home/username/.ssh/ MEMBRTRD001.pub.
The key fingerprint is:
f8:e9:1a:ee:a0:d7:2b:4a:8e:fa:4d:80:43:e0:96:1a username@host.domain.de
The key's randomart image is:
+--[ RSA 3060]----+
|.
0.
|E=
+0
       .
|+ . . S
| . .
       . .
| . 0.. 0
| + +00.0
|+.=0.0=0.
                  T
+----+
```

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The following keys will be created:

\$ ls -al MEMBR\*

-rw----- 1 username group 1675 Jul 17 16:28 MEMBRTRD001 -rw-r--r-- 1 username group 405 Jul 17 16:28 MEMBRTRD001.pub

The public key can be identified by the file extension ".pub", while the private key has no file extension. Please note the different file access rules for both keys.

The private key file must be accessible from the GUI user's workstation, but should never be distributed further.

Alternatively the tool ssh-keygen can be used in an interactive session:

\$ ssh-keygen -t rsa -b 3060 Generating public/private rsa key pair. Enter file in which to save the key (/home/username/.ssh/id rsa): /home/username/.ssh/MEMBRTRD002 Enter passphrase (empty for no passphrase): Enter same passphrase again: Your identification has been saved in /home/username/.ssh/MEMBRTRD002. Your public key has been saved in /home/username/.ssh/MEMBRTRD002.pub. The key fingerprint is: 17:0f:1c:7b:67:bc:34:6f:a6:52:7c:4b:7e:d7:16:8e username@host.domain.de The key's randomart image is: +--[ RSA 3060]----+ . . 0 . = . \* | = = + | S . . +o=| L .==+| . .E.+=| T . .0|

The following keys will be created:

\_\_\_\_\_+

+-

```
$ ls -al MEMBR*
-rw----- 1 username group 1743 Jul 17 16:30 MEMBRTRD002
-rw-r--r- 1 username group 405 Jul 17 16:30 MEMBRTRD002.pub
```

The public key can be identified by the file extension ".pub", while the private key has no file extension. Please note the different file access rules for both keys.

The private key file must be accessible from the GUI user's workstation, but should never be distributed further.

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## 5.2 Key generation with puttygen

The following chapter describes the key generation procedure with puttygen.exe under MS Windows. After starting PuTTY Key Generator (run "puttygen.exe") there will be an almost empty PuTTY Key Generator screen visible.

| S  | •         |             |   |          |                |      |                     |               | ?               | x   |
|----|-----------|-------------|---|----------|----------------|------|---------------------|---------------|-----------------|-----|
| Fi | ile       | Key         | Conversions   | Help     |                |      |                     |               |                 |     |
|    | Key<br>No | /<br>key.   |   |          |                |      |                     |               |                 |     |
|    | Act       | tions       |   |          |                |      |                     |               |                 |     |
|    | Ge        | nerate      | a public/private  | key pair |                |      | [                   | <u>G</u> ene  | erate           |     |
|    | Loa       | ad an e     | xisting private ke  | ey file  |                |      | [                   | <u>L</u> o    | ad              |     |
|    | Sa        | ve the      | generated key   |          |                | Save | p <u>u</u> blic key | Save priv     | vate ke         | у   |
|    | Tyr       | <u>R</u> SA | s<br>av to generate:<br>O <u>D</u> SA<br>i <u>b</u> its in a genera |          | ○ <u>e</u> cds | A    | ○ ED <u>2</u> 5519  | ○ SSI<br>3060 | H- <u>1</u> (RS | iA) |

Parameters:

- Type of key to be generated:
   RSA
- Number of bits in a generated key: **3060** (up to 16384)

Once the parameters are set, click on "Generate" and move your mouse pointer for a while over the blank area. The key pair will be generated.

**IMPORTANT**: Each key private must be protected with a passphrase. This is mandatory for both the T7 simulation and for production environments.

# File Key Public key for pasting into OpenSSH authorized\_keys file:

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| echrisa<br>AAAAB3NzaC1yc2EA<br>nlLUWE/Tr5Df2wndG<br>jlQqjggHDg0w0xtLUcd | AAABJQAAAX8KxwNj4<br>AAABJQAAAX8KxwNj4<br>AzxGD9SVNcsYyLLe2fC<br>OgLuXtp41P7q0ZMNZF<br>/dLZ8hsMizaCAUIwYVN | 51Gq6BnDqyuCCemaul<br>tjWDmmU4S0IE61CjkK<br>RXJ1pggMwTaykN73g1 | hUrebNYRtFp/Tg —         |
|---|--|--|--------------------------|
| Key fingerprint:  | ssh-rsa 3000 Sele5.co  | 40fdfd:b2:ad.ad.7p:90  | 1:48:a8:df:7b:ee         |
| Key <u>c</u> omment:  | rsa-key-20180813   |  |                          |
| Key p <u>a</u> ssphrase:  |  |  |                          |
| Confirm passphrase:   |  |  |                          |
| Actions   |  |  |                          |
| Generate a public/priv  | ate key pair   |  | <u>G</u> enerate         |
| Load an existing privat   | e key file   |  | Load                     |
| Save the generated ke   | :y   | Save p <u>u</u> blic key                                       | <u>S</u> ave private key |
| Parameters<br>Type of key to generat                                    | e.   |  |                          |
|   | <u>o</u> sa <u>e</u> cds   | GA O ED <u>2</u> 5519  | <u>O SSH-1 (RSA)</u>     |
| Number of <u>b</u> its in a ger   | ierated key:   | Check!   | ≥ 3060                   |

**Public key**: Copy the key and paste it into a text editor (e.g. Notepad), change the ending to .pub and save it on your computer.

To save the public key, select the key in the textbox with the mouse. Make sure that you have selected the full key, which may be longer than the window can display at once. This may require scrolling. Copy the selected key to the clipboard (CTRL-C). Open a text editor, i.e. "Notepad" or "Editor" and paste (CTRL-V) the key into the editor. Save the file as the public key.

The public key needs to be uploaded to the Member Section of the Eurex or Xetra website. The process is described in the next chapter.

**<u>Privat key</u>**: To save the private key, click on "Conversions" in the menu bar of PuTTYgen and select "Export OpenSSH key" as shown in the screenshot below.

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|   | 5   | PuTTY Key   | Generator                | ? X                   |
|---|---|---|--------------------------|-----------------------|
|   | File Key Conversion                                 | s Help  |                          |                       |
| Import key                                    |   |   |                          |                       |
| Export OpenSSH key                            |   | OpenSSH authorized  | _keys file:              |                       |
| Export OpenSSH key (for<br>Export ssh.com key |   | ABJQAAAX8JV/jH0W<br>xZIFpIQ69E4iVT/D8<br>1DMOgxYv14eGx0dr<br>GFUF9KUtU3fN3trdKD | AHenT                    |                       |
|   | Key fingerprint:                                    | ssh-rsa 3060 1d:fb:ce:1   |                          |                       |
|   | Key <u>c</u> omment:                                | rsa-key-20180813  |                          |                       |
|   | Key p <u>a</u> ssphrase:                            |   |                          |                       |
|   | Confirm passphrase:                                 |   |                          |                       |
|   | Actions   |   |                          |                       |
|   | Generate a public/priva                             | ite key pair  |                          | <u>G</u> enerate      |
|   | Load an existing private                            | key file  |                          | Load                  |
|   | Save the generated key                              | r [   | Save p <u>u</u> blic key | Save private key      |
|   | Parameters  |   |                          |                       |
|   | Type of key to generate<br>• <u>R</u> SA O <u>D</u> |   | A O ED <u>2</u> 5519     | ○ SSH- <u>1</u> (RSA) |
|   | Number of <u>bits</u> in a gene                     | erated key:   |                          | 3060                  |

Both keys are required to run any T7 GUI over the internet.

**<u>Please note</u>**: Both key files must be accessible from the T7 GUI user's workstation, but should never be distributed further.

## 5.3 Public key – Member Section upload

The public key created as outlined above needs to be uploaded to the Member Section at <a href="https://member.eurexchange.com">https://member.eurexchange.com</a> or <a href="https://member.eurexchange.com"/>https://member.eurexc

From the Member Section the key will be transferred to the proxy servers for T7 GUI usage via Internet. An uploaded key will be usable within one hour after successful upload.

Keys can be created either for the entire business unit or for individual traders. Uploaded keys are valid for one market only. Customers trading on several markets need to upload keys for each market.

**<u>Please note</u>**: Uploading a new key for a trader or a business unit overwrites any previously uploaded key.

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|------------|-----|
|------------|-----|

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Follow the path below to reach the T7 GUI key upload page.

#### DBAG Member Section-> Technical Connection-> Technical User Administration-> T7 GUIs

| Technical User Administration |
|-------------------------------|
| Download Agent                |
| EurexOTC Clear FpML Account   |
| T7 GUIs                       |
| FIXML Account                 |
| Eurov Clearing Ollla Naturark |

The path above will take you to the T7 GUI Keys page:

|  |  |  |  |                    | S           |                          |            |             | 100 C C C C C C C C C C C C C C C C C C |
|--|--|--|--|--------------------|-------------|--------------------------|------------|-------------|---|
| DEUTSCHE BÖRSE<br>CASH MARKET  | Technical<br>Connection  | Admissions &<br>User IDs   | Invoice<br>Fee Re  | T. T.              | eListing    | Cash Market<br>Resources |            |             |   |
| Incident Tickets<br>Requests & Configuration<br>Monitors and Statistics<br>Connection Monitors<br>Roundtrip Statistics Values<br>Roundtrip Statistics<br>TCP/IP Roundtrip Statistics<br>Realtime Backend Event Log | T7 GUIs for Eurex: <u>http</u><br>T7 GUIs for T7/FX; <u>http</u><br>T7 GUIs for EEX; <u>http</u><br>T7 GUIs for Xetra: <u>http</u><br>T7 GUIs for Vienna St<br>T7 GUIs for Vienna St | uploaded, trading partic<br>://webgui.eurexchange<br>://webgui.eurexchange.<br>//webgui.eurexchange.<br>://webgui.eurexchange.<br>://webgui.eurexchange.<br>: Exchange: <u>http://webg</u><br>ock Exchange: <u>http://webg</u><br>ions about your login fo | e.com/<br>e.com/fx/<br>com/eex/<br>ui.xetra.com/<br>ebqui.xetra.com/ | dublin<br>m/vienna |             |                          |            | Support Box |   |
| Broadcast Latency Report<br>X-Chart  | T7 GUIs  |  |  |                    |             |                          |            |             |   |
| Special Monitors   |  | ey   New Trader Key  | Delete Key   |                    |             |                          |            |             |   |
| Technical User Administration  |  |  |  |                    |             |                          |            |             |   |
| Download Agent   | Туре   | Market   | Member Id  | Trader ID          | Environment | Valid to [dd.mm.yyyy]    | Descriptio | in          |   |
| EurexOTC Clear FpML Account  | Trader Key   | EUREX  |  |                    | Simulation  | 31.12.2099               |            |             |   |
| T7 GUIs  | Participant K  | ey EUREX   |  |                    | Simulation  | 01.11.2020               |            |             |   |
| FIXML Account  | Participant K  | ey EUREX   |  |                    | Simulation  | 29.08.2014               |            |             |   |
| Eurex Clearing GUIs Network<br>Configuration   | Participant K  | ey XETRA   |  |                    | Production  | 02.11.2020               |            |             |   |
| Eurex Repo Certificates  | Trader Key   | XETRA VIENNA   |  |                    | Simulation  | 31.12.2020               |            |             |   |
| Enhanced Risk Solution   | Trader Key   | Eurex T7 FX  |  |                    | Simulation  | 31.12.2099               |            |             |   |

Public keys can be uploaded and registered either for a whole business unit where every trader uses the same key (described in the chapter 5.3.1 New Participant Key) or individually for every trader individually (described in the chapter 5.3.2 New Trader Key).

#### 5.3.1 New Participant Key

Click on "New Participant Key" to upload a key which will be used by all traders of a given business unit. Select the Member ID/Business unit, the desired market (Eurex, T7/FX, Xetra, Vienna, Dublin, etc.), the environment (production/simulation) and choose an expiration date.

Expired keys will be deleted at the expiry date. Expiry dates can be modified at any time prior to expiry. Additionally an optional text description can be added.

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|--|----------------------|
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|  |                      |

| y Type:                  | Participant Key |                   |
|--------------------------|-----------------|-------------------|
| larket: *                | Xetra 💌         |                   |
| invironment: *           | Simulation      |                   |
| lember Id: *             | GDBXX           |                   |
| /alid to [dd.mm.yyyy]: * | 31.12.2017      |                   |
| Description:             |                 |                   |
| Public Key: *            |                 | Upload Public Key |
| Certificate Data:        |                 |                   |
|                          |                 |                   |
|                          |                 |                   |
|                          |                 |                   |

Then click on the "Upload Public Key" button. In the popup window select "Browse..." and navigate to the public key file you have created previously.

Click on "Save" to close the popup window.

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| Кеу Туре:   | Participant Key   |  |
|---|---|--|
| Market: *   | Xetra   |  |
| Environment: *  | Simulation  |  |
| Member Id: *  | GDBXX 👻   |  |
| Valid to [dd.mm.yyyy]: *  | 31.12.2017  |  |
| Description:  |   |  |
| Public Key: *   | GDBXXITCRBR_GUI.pub   | Upload Public Key  |
|   |   | Z5jhMWKRalg9zRFNTWnQFcysJLHLYq3f05W5CcBYt6Onbc6  |
| SAQh07I1A5zGqa9u6GGw/iPV2<br>/4yjG4Z8kzOsDYCs5y5fgQmhX9<br>/pg+5WAkFLHCZokz77XzWY5a                               | Z3kqs7YFV6cŴJXveP<br>9hUtsyFwBNZcbqpYFPSNaEJ+k5Po0eW88sVRkł<br>YE | HVDhGboUqwOXIVSqsBmFsYVZpqnu33SprJD88AWeRst+bH<br>kGuITAxPgpW61ZJ2Aep1rpw== rsa-key-20160928 |
| SAQh07I1A5zGqa9u6GGw/iPV2<br>/4yjG4Z8kzOsDYCs5y5fgQmhX9<br>/pg+5WAkFLHCZokz77XzWY5a                               | Z3kqs7YFV6cŴJXveP<br>9hUtsyFwBNZcbqpYFPSNaEJ+k5Po0eW88sVRkł<br>YE | HVDhGboUqw0XiVSqsBmFsYVZpqnu33SprJD88AWeRst+bH   |
| SAQh07I1A5zGqa9u6GGw/IPVZ<br>/4yjG4Z8kzOsDYCs5y5fgQmhX3<br>/pg+5WAkFLHCZokz77XzWY5a<br>/6dQvgh5djcCSsXI5wYJGYHx5i | Z3kqs7YFV6cŴJXveP<br>9hUtsyFwBNZcbqpYFPSNaEJ+k5Po0eW88sVRkł<br>YE | HVDhGboUqwOXiVSqsBmFsYVZpqnu33SprJD88AWeRst+bH<br>kGuITAxPgpW61ZJ2Aep1rpw== rsa-key-20160928 |
| SAQh07I1A5zGqa9u6GGw/IPVZ<br>/4yjG4Z8kzOsDYCs5y5fgQmhXi<br>/pg+5WAkFLHCZokz77XzWY5a<br>/6dQvgh5djcCSsXI5wYJGYHx5i | Z3kqs7YFV6cŴJXveP<br>9hUtsyFwBNZcbqpYFPSNaEJ+k5Po0eW88sVRkł<br>YE | HVDhGboUqwOXiVSqsBmFsYVZpqnu33SprJD88AWeRst+bH<br>kGuITAxPgpW61ZJ2Aep1rpw== rsa-key-20160928 |

Save Cancel

Click on "Save" to complete the key upload. The screen will return to show a list of all already uploaded keys.

| Participant Key | New Trader Key | Delete Key |           |             |                       |             |
|-----------------|----------------|------------|-----------|-------------|-----------------------|-------------|
| уре             | Market         | Member Id  | Trader ID | Environment | Valid to [dd.mm.yyyy] | Description |
| frader Key      | EUREX          |            |           | Simulation  | 31.12.2099            |             |
| Participant Key | EUREX          |            |           | Simulation  | 01.11.2020            |             |
| Participant Key | EUREX          |            |           | Simulation  | 29.08.2014            |             |
| Participant Key | XETRA          |            |           | Production  | 02.11.2020            |             |
| rader Key       | XETRA VIENNA   |            |           | Simulation  | 31.12.2020            |             |
| rader Key       | Eurex T7 FX    |            |           | Simulation  | 31.12.2099            |             |

Uploaded keys will be activated and ready to be used within one hour after the upload.

#### 5.3.2 New Trader Key

Click on "New Trader Key" if you want to upload a key which is used by one individual trader. Select the Member ID/Business unit, the desired market (Eurex, Xetra, etc.), the environment (production/simulation) and choose an expiration date.

Expired keys will be deleted at the expiry date. Expiry dates can be modified at any time. Additionally an optional text description can be added.

Follow the procedure described in the previous chapter to select a local public key file and upload it.

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| Кеу Туре:                | Trader Key   |            |                   |
|--------------------------|--------------|------------|-------------------|
| Market: *                | Xetra Vienna | *          |                   |
| Environment: *           | Simulation   | •          |                   |
| Member Id: *             | GDBXX        |            |                   |
| Trader ID: *             | TRD001       |            |                   |
| Valid to [dd.mm.yyyy]: * | 31.12.2017   | <b>E</b> 2 |                   |
| Description:             |              |            |                   |
| Public Key: *            |              |            | Upload Public Key |
| Certificate Data:        |              |            |                   |
|                          |              |            |                   |
|                          |              |            |                   |
|                          |              |            |                   |
|                          |              |            |                   |
|                          |              |            |                   |
|                          |              |            |                   |

Click on "Save" to complete the key upload. The screen will return to show a list of all already uploaded keys.

| articipant Key | New Trader Key | Delete Key |           |             |                       |             |
|----------------|----------------|------------|-----------|-------------|-----------------------|-------------|
| уре            | Market         | Member Id  | Trader ID | Environment | Valid to [dd.mm.yyyy] | Description |
| rader Key      | EUREX          |            |           | Simulation  | 31.12.2099            |             |
| articipant Key | EUREX          |            |           | Simulation  | 01.11.2020            |             |
| articipant Key | EUREX          |            |           | Simulation  | 29.08.2014            |             |
| articipant Key | XETRA          |            |           | Production  | 02.11.2020            |             |
| rader Key      | XETRA VIENNA   |            |           | Simulation  | 31.12.2020            |             |
| Frader Key     | Eurex T7 FX    |            |           | Simulation  | 31.12.2099            |             |

Uploaded keys will be activated and ready to be used within one hour after the upload.

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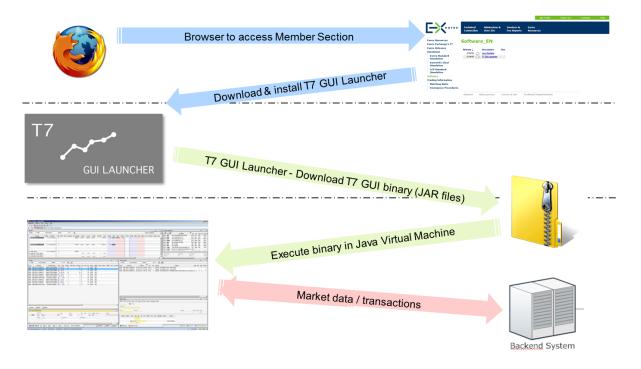
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# 6. Troubleshooting

Although the setup of the T7 Admin GUI, T7 Trader GUI and/or T7 Clearer GUI is straight forward and relatively simple, the following chapters give some advice on troubleshooting in case connectivity cannot established in the first place.

## 6.1 The tiers of T7 GUI communication

The whole process of starting and running the T7 GUI, including automatic download of the latest version of the GUI binaries consists of two tiers, preceded by the one time step of downloading and installing the T7 GUI Launcher:



## 6.1.1 Tier I: Downloading and installing the T7 GUI Launcher (to be done once)

Download the desired T7 GUI Launcher package(s) from the Member Section of eurexchange.com xetra.com. Install the desired connection option (Internet, leased line) as described in chapter 3. This whole process is a one-time process. Once the packages are installed running and updating the actual T7 GUIs will be done from the installed T7 GUI Launcher, which itself will be accessible via a number of T7 GUI shortcuts on the desktop or within the Windows start menu.

#### 6.1.2 Tier II: T7 GUI Launcher > JAR File delivery

Clicking one of the T7 GUI shortcuts will initiate the T7 GUI Launcher mechanism using getdown to download the T7 GUI in form of a JAR file from the T7 GUI Launcher server. Every time a new GUI version is available on the T7 GUI Launch server, this new version will be downloaded to the client computer. If there is no newer version on the T7 GUI Launch server, the previously downloaded version will be started.

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#### 6.1.3 Tier III: Running GUI -> T7 (crypto) proxies

The T7 GUI itself, once running, will open a connection to one of a set of so called "T7 (crypto) proxies). These T7 (crypto) proxies handle incoming T7 GUI communication and forward the communication to the T7 back end. On Internet connections, the T7 (crypto) proxies handle the x.501 GUI key handshake – hence the "crypto" in the name. All available T7 (crypto) proxies are defined in the JNLP files.

The T7 GUI will pick one of the T7 (crypto) proxies listed in the JNLP file to open a communication channel which is then used further on for communication from the running GUI to the T7 back end.

Example Eurex T7 (see chapter 4.4 for other network addresses):

Leased line Simulation: 193.29.89.224/28, 193.29.95.224/28 Production: 193.29.91.224/28, 193.29.93.224/28

Internet Simulation: 193.29.90.224/27 Production: 193.29.90.192/27

## 6.2 T7 GUI Launcher - Frequently asked questions

How can I access the Java Control Panel?

• The Java Control Panel was only relevant for Java Web Start and Java Applets. Since both are not part of open source Java versions, there is no Java Control Panel in the JRE provided by Deutsche Börse.

Where do I set up my own proxy server if I can only access the Internet via a proxy?

• T7 GUI Launcher tries to determine the proxy settings automatically. If the proxy could not be determined, a dialog pops up for proxy input.

Where could I adjust my Java Cache Size or delete the cache if I wanted to?

• Due to the lack of Web Start and Control Panel there is no Java Cache anymore. The files are copied directly to disk.

## 6.3 Verify connectivity, Internet

The selected T7 GUI will start after the T7 GUI Launcher process has been completed. In the login screen, open network settings and select Internet.

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|--|----------------------|--|--|--|
|  |                      |  |  |  |
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|  |                      |  |  |  |
|  |                      |  |  |  |
| Network Connection Settings                                | *                    |  |  |  |
| Leased Line  |                      |  |  |  |
| C Leased Line (FQDN)                                       |                      |  |  |  |
| ○ Internet   |                      |  |  |  |
|  |                      |  |  |  |
|  |                      |  |  |  |
|  |                      |  |  |  |
|  |                      |  |  |  |
|  | > Next               |  |  |  |
| Network Proxy Security                                     |                      |  |  |  |

If present, specify a local proxy server on the 2<sup>nd</sup> tab. If your proxy server requires authentication, please specify username and password for your proxy authentication too.

| Network Connection Settings | *             |
|-----------------------------|---------------|
| O Direct Connection         |               |
| Use OS Settings             |               |
| O Use Proxy Server          |               |
| Address                     |               |
|                             |               |
| Port User Password          |               |
| 🏂 Detect Proxy              | <b>&gt;</b> N |
| Network Proxy Security      |               |
| Info                        | *             |
| PIN                         | *             |
|                             |               |

<u>Please note</u>: Detailed proxy settings can be specified within this dialog, by activating "Use Proxy Server". When no local proxy is used at all for the T7 GUI connection, "Direct Connection" has to be selected.

On the third tab point to your public **and** private SSH key, matching the previously uploaded public key.

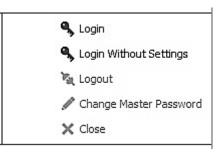
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| letwork Connection Settings        | *        |
|------------------------------------|----------|
| Public Client Key                  |          |
| N:\T7\key_examples\GDBXXSIM010.pub | <b>1</b> |
| Private Client Key                 |          |
| N:\T7\key_examples\GDBXXSIM010.key |          |
|                                    |          |
|                                    | > Next   |
| etwork Proxy Security              |          |

After the required configuration is complete, the Login button becomes active. Clicking on the login button connects the T7 GUI with the exchange. If not, verify the data entered in the network settings of the T7 GUI.



Alternatively test the network connectivity from the client computer to the Deutsche Börse Servers by the following commands (if netcat is present. Alternatively use telnet):

#### Xetra Production

nc -z -w5 guiproxyp1.xetra.com 80 nc -z -w5 guiproxyp2.xetra.com 80

#### Xetra Simulation

nc -z -w5 guiproxys1.xetra.com 80 nc -z -w5 guiproxys2.xetra.com 80

#### **Eurex Production**

nc -z -w5 guiproxyp1.eurexchange.com 80 nc -z -w5 guiproxyp2.eurexchange.com 80

#### **Eurex Simulation**

nc -z -w5 guiproxys1.eurexchange.com 80 nc -z -w5 guiproxys2.eurexchange.com 80

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## 6.4 Verify connectivity, leased line

#### 6.4.1 Simple checklist for simulation network configuration test via leased line

<u>Please note</u>: IP addresses below are an <u>example for Eurex simulation only</u>. See chapter 4.3 for other markets and for production addresses.

- Find the correct member LAN to connect from. In the member portal, go to "Request & Configuration". Get an X-Con for ETI and/or GUI channel. Take note of the "MEMLAN IPnet". This is the network from which you should connect:
- 2.) Find the router assignment to back end half "A" and "B" in the same X-Con
- 3.) For initial testing put a computer into the assigned member LAN.
- 4.) Add three static routes to this computer

| route 193.29.89.224 255.255.255.240 | -> pointing to router for BE half "A" (alternative    |
|-------------------------------------|---|
| subnet naming 193.29.89.224/28)     |   |
| route 193.29.95.224 255.255.255.240 | -> pointing to router for BE half "B" (alternative    |
| subnet naming 193.29.95.224/28)     |   |
| route 193.29.93.173 255.255.255.255 | -> pointing to either one of the routers or a virtual |
| HSRP address.                       |   |

5.) Test basic connectivity using telnet on the test computer

| telnet 193.29.93.173 80 | -> you should get an open port on a webserver |
|-------------------------|---|
| telnet 193.29.89.225 80 | -> you should get an open port                |
| telnet 193.29.95.225 80 | -> you should get an open port                |
|                         |   |

(use port 8089 instead of port 80 to test T7 Trader GUI on a dedicated GUI channel on leased line)

6.) Verify with the GUI software (only if the telnet tests above were successful)
Open URL <a href="http://193.29.93.173">http://193.29.93.173</a> in a browser on the test computer
Click on the "T7 Admin GUI" link for Simulation. -> The T7 GUI Launcher will initiate, download the GUI and start it.
Provide a trader ID (ending with "\_GUI") and a password. Make sure you enter letters in the

password as **uppercase**. Click on "Login".

After a while, reference data will be downloaded and the Welcome Screen of the Admin GUI will open.

If not, verify that the proxy settings for Web browser, Java and T7 GUI are set according to local requirements on the client computer.

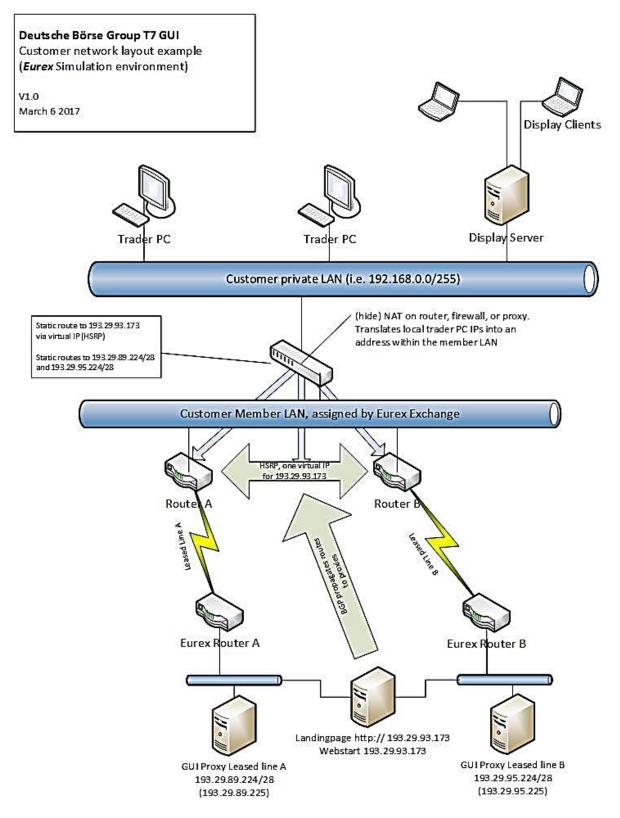
If all above works, add HSRP fail-over for 193.29.93.173 if desired. Add NAT'ing device between Member LAN and trading floor network.

See chapter 4.4 for other markets and for production addresses.

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#### 6.4.2 Example simulation network setup, leased line



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#### 6.4.3 Application test over leased line

Verify that routes to webgui.vpn.eurexchange.com (193.29.93.173) and/or webgui.vpn.xetra.com (193.29.93.174) are present in your local network, pointing to your leased lines and that you resolve the FQDN hostnames (webgui.vpn.eurexchange.com and webgui.vpn.xetra.com) both to the IP address 193.29.93.173 (or 193.29.93.174 for Xetra)

Verify local proxy settings. If a local proxy is present and used by the web browser for accessing the Internet, ensure that an exception for network 193.29.93.173 (or 193.29.93.174 for Xetra) has been created as described in chapter 4.4.4.

Clicking on any of the presented T7 GUI links will trigger the T7 GUI Launcher process. If a local proxy server is present, this should be configured in the T7 GUI. Verify that routes to 193.29.93.173 (or 193.29.93.174 for Xetra) are present in your local network, pointing to your leased lines.

The selected T7 GUI will start after the T7 GUI Launcher process completes. In the login screen, open network settings and select leased line.

Clicking on the login button connects the selected T7 GUI with the exchange. If not, verify that routes to the proxy addresses listed in chapter 4.3 are present in your local network, pointing to your leased lines. Note: you need to have routes set to both networks per environment if you use two leased lines for redundancy.

### 6.5 Bandwidth monitoring

Once connected to the T7 trading architecture, the bandwidth monitor view in the T7 Admin GUI allows online monitoring of bandwidth usage and GUI latency for all users of within a business unit. Use the "Chart" checkbox to create a real time diagram of bandwidth usage per GUI instance:

|                      | View Conf   | -          | Help        |          |       |     |         |             |                   | Profile/F  | Product   | S          | ) Edit | * Grp        | Trader 💋   |
|----------------------|-------------|------------|-------------|----------|-------|-----|---------|-------------|-------------------|------------|-----------|------------|--------|--------------|------------|
| Bandwidtl<br>* GDBXX | h Monitor   |            |             |          |       |     |         |             |                   |            | uick Find | 🛞 Us       | er: 1  |              | E X        |
|                      | Application | Con.Type   | Protocol    |          | IP Pr | оху | Version |             | ⊂<br>CreationDate |            | KBit/s    | Max KBits/ | ts/s   | Latency (ms) | MaxLatency |
| ANKFURT              | TraderGUI   | LeasedLine | WS          | No Proxy |       |     |         | 000.043.311 | 07.03.201         | 7 11:49:41 | 39        | 72         |        | 1            | 1          |
| GDBXXITCRB           | R_GUI GDBX) | KITCRBR 07 | 7.03.2017 1 | 1:49:41  |       |     | ••••••  |             |                   |            |           |            |        |              | 1492       |
|                      |             |            |             |          |       |     |         |             |                   |            |           |            |        |              | 45         |
|                      |             |            |             |          |       |     |         |             |                   |            |           |            |        |              | Reset      |
| Bandwidth Mor        | nitor       |            |             |          |       |     |         |             | Ē                 | 187 /      | 989 📋     |            |        | 🗸 OnEx 🗸     | RDS 🗸 TES  |

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## 6.6 General issues

| Symptom   | Possible causes   | Measure   |
|---|---|---|
| The selected T7 GUI starts,<br>but login is denied with error<br>message "Wrong user or<br>password" in "Master Login"<br>window. | Wrong credentials<br>for trader master<br>account   | <ul> <li>Ensure that the master login matches your trader id ending with "_GUI".</li> <li>The master login password will be initially identical to your existing trader account login. Upon first login to T7 every user is required to choose a new password.</li> </ul> |
| T7 Admin GUI starts, but<br>login is denied with error<br>message "Connection error"<br>in "Master Login" window.                 | Basic network<br>connectivity<br>between client<br>computer and T7<br>back end servers<br>failing | <ul> <li>Follow the network test procedures outlined in chapters 6.3 and/or 6.4</li> <li>Verify local proxy setting in GUI config</li> <li>Verify no firewalls are blocking relevant ports and all routes are set.</li> </ul>   |

## 6.7 Related Documents

Documents related to T7 are available on the Eurex website

<u>http://www.eurexchange.com</u> Technology > T7 Trading Architecture > System Documentation

And on the Xetra website

http://www.xetra.com Technology > T7 Trading Architecture > System Documentation

The following documents may be of particular interest for user of the T7 GUIs:

| Document                                   | Description   |
|--|---|
| Participant and User Maintenance<br>Manual | <ul> <li>A document assisting service administrators<br/>maintaining participant and user related data in Eurex<br/>Exchange's T7.</li> </ul> |
| T7 GUI – Manual                            | • A document providing a detailed description of the T7 GUI solutions, the T7 Trader GUI, T7 Admin GUI and the T7 Clearer GUI.                |
| T7 Network Access Guide                    | • A document providing details on the network access options for the various interfaces T7, F7 and Eurex                                      |

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|  | Clearing. It includes detailed technical background<br>information, such as router equipment information<br>and port numbers for the configuration of firewalls.             |
|--|--|
| T7 Disaster Recovery Concept               | • A document describing the measures, which need to be taken in the unlikely event of a complete failure of the main T7 data center.   |
| User Manual – Request and<br>Configuration | • A document providing help for the use of the tools available in the Member Section of Deutsche Börse Group. Please note, this document is available in the Member Section. |

## 6.8 Contacts

For any questions, you may have about GUI setup process please contact your Technical Account Manager using your VIP number or e-mail <u>cts@deutsche-boerse.com</u>.

For questions regarding the Member Section please contact the Member Section Team Tel: +49-69-211-1 78 88 or e-mail <u>member.section@deutsche-boerse.com</u>.

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# 7. Change log

The change log serves as a record of the latest major changes.

| No    | Date              | Chapter       | Change   |
|-------|-------------------|---------------|--|
| 1.0   | 26 July 2012      | -             | Creation of document   |
| 1.3   | 19 July 2013      | All           | Updated text and screenshots to reflect Eurex Exchange's T7 release 1.1  |
| 2.0   | 12 August 2013    | 1.4           | Update for T7 release 2.0. Java 1.7 optional.  |
| 3.0.0 | 14 September 2015 | All           | Update to reflect Eurex Exchange's T7 release 3.0 including Java update.   |
| 4.0.0 | 8 September 2016  | 1.4           | Update including EEX and required Java 1.8 update.   |
| 4.1.0 | 21 November 2016  | 1.5           | Added Eurex T7/FX IP addresses and URLs  |
| 5.0.0 | 13 March 2017     | All           | Updated for T7 5.0 including Cash Market Migration   |
| 5.0.1 | 07 June 2017      | 1.6           | Added T7 5.0 cash market network details for production  |
| 5.0.2 | 19 June 2017      | 1.6.3,<br>5.2 | Added new GUI proxy option "Use Java Settings"   |
| 6.0.0 | 18 September 2017 | n/a           | Update for T7 6.0 (no content change). Updated screenshots (PuTTY)   |
| 6.1.0 | 15 January 2018   | n/a           | Update for T7 6.1 (no content change).   |
| 7.0.0 | 13 August 2018    | n/a           | Update for T7 7.0 (some screenshots updated).  |
| 7.1.8 | 17 April 2019     | All           | Major document re-write to reflect the new T7 GUI<br>Launcher mechanism replacing the previously used<br>mechanism of Landing Pages and Java Web Start |