
AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED

DELETIONS ARE CROSSED OUT

[...]

Annex A in relation to Subsection 1.6 of the Contract Specifications:

Futures on Shares of	Product ID	Group ID*	Cash Market ID*	Contract Size	Minimum Price Change	Currency**	Minimum Block Trade Size
[...]							
Novartis- Alcon-Basket AG – N.	NOVF	CH01	XVTX	100	0.0001	CHF	225
<u>Novartis AG</u>	<u>NOVG</u>	<u>CH01</u>	<u>XVTX</u>	<u>100</u>	<u>0.0001</u>	<u>CHF</u>	<u>225</u>
[...]							

[...]

Annex B in relation to Subsection 2.6 of the Contract Specifications:

Options on Shares of	Product ID	Group ID*	Cash Market ID*	Contract Size	Maximum Term (Months)	Minimum Price Change	Currency**	Minimum Block Trade Size
[...]								
Novartis-Alcon-Basket Novartis AG – N.	NORV E	CH14	XVTX	100	60	0.01	CHF	250
Novartis-Alcon-Basket Novartis AG – N.	NORB VN	CH11	XVTX	100	60	0.01	CHF	250
Novartis-Alcon-Basket Novartis AG – N.	NORW 1/2/4/5	CH13	XVTX	100	1	0.01	CHF	250
<u>Novartis AG</u>	<u>NOVE</u>	<u>CH14</u>	<u>XVTX</u>	<u>100</u>	<u>60</u>	<u>0.01</u>	<u>CHF</u>	<u>250</u>
<u>Novartis AG</u>	<u>NOVN</u>	<u>CH11</u>	<u>XVTX</u>	<u>100</u>	<u>60</u>	<u>0.01</u>	<u>CHF</u>	<u>250</u>
<u>Novartis AG</u>	<u>NOW1/</u> <u>2/4/5</u>	<u>CH13</u>	<u>XVTX</u>	<u>100</u>	<u>1</u>	<u>0.01</u>	<u>CHF</u>	<u>250</u>
[...]								

[...]

The table referenced below stipulates further information for products with a premium threshold. The information regarding the below mentioned products is supplementary to the information stipulated in the table above.

Options on shares of	Product ID	Group ID	Minimum price change below threshold	Premium Threshold	Minimum price change above threshold
[...]					
NORMA Group SE	NOEJ	DE12	0.01	0.5	0.05
Novartis-Alcon-Basket Novartis AG – N.	NORB NVN	CH11	0.01	5	0.05
Novartis-Alcon-Basket Novartis AG – N.	NORW 1/2/4/5	CH13	0.01	5	0.05
Novartis AG	NOVN	CH11	0.01	5	0.05
Novartis AG	NOW 1/2/4/5	CH13	0.01	5	0.05
[...]					

[...]

Annex D in relation to Subsection 1.13 of the Contract Specifications:

Futures contracts on the dividends of Shares (“Single Stock Dividend Futures”)	Product ID	Group ID*	Cash Market-ID*	Maximum term (years)	Contract Size	Minimum Price Change	Currency**	Minimum Block Trade Size
[...]								
Novartis-Alcon-Basket Novartis AG – N.	N2OV	CH21	XVTX	5	1000	0.001	CHF	10
Novartis AG	N3OV	CH21	XVTX	5	1000	0.001	CHF	10
[...]								

[...]
