

T7 Disaster Recovery Concept

Interface Configuration Details

Version 4.0.2

Date 10 October 2018

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
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Table of Content

1	Introduction	1
2	Disaster recovery scenario	2
3	General considerations	4
3.1	Functional	
3.2	Configuration for DR	
3.2.1	Same as Production	
3.2.2	Differ from Production	
4	Disaster recovery network details	£
4.1	T7 network details derivatives markets	
4.1.1	Eurex T7	
4.1.2	EEX T7	
4.2	T7 network details cash market	
4.2.1	Xetra T7	
4.2.2	Xetra Vienna T7	
4.2.3	Xetra Dublin T7	
5	Disaster recovery test scope	16
6	Change log	17

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 1

1 Introduction

This document provides an overview of Deutsche Börse's disaster recovery concept for the T7 trading system. It contains the required technical background information as well as functional features and limitations to enable participants to continue trading in a DR situation.

Furthermore, it provides information with regard to the scope of the yearly disaster recovery test (chapter 5). <u>Please note:</u> In a scheduled disaster recovery test, not all interfaces will be offered.

For an overall description of T7 network options, please refer to the respective document "N7 Network Access Guide" also available on the Eurex or Xetra website:

<u>www.eurexchange.com</u> -> Technology -> T7 Trading architecture -> System documentation -> Release 6.1 -> Network Access

<u>www.xetra.com</u> -> Technology -> T7 Trading architecture -> System documentation -> Release 6.1 -> Network Access

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 2

2 Disaster recovery scenario

The following description is relevant for installations connecting via redundant line WAN connection (Ethernet, E1/T1) outside of the Equinix data centre¹. Customer installations inside Equinix are considered defunct in a disaster recovery (DR) scenario that results in a complete outage of the Equinix data centre.

Three types of customer installations have to be considered for the T7 DR scenario:

- Customer installations inside the Equinix data centre (CoLocation / Proximity)
- Customer installations connecting to the Frankfurt Access Point (customers in Germany)
- Customer installations connecting to remote Access Points (London, Paris, Amsterdam, Chicago, etc.)

Figure 1 depicts all three types of customer installations and their redundant connectivity to the T7 production back ends.

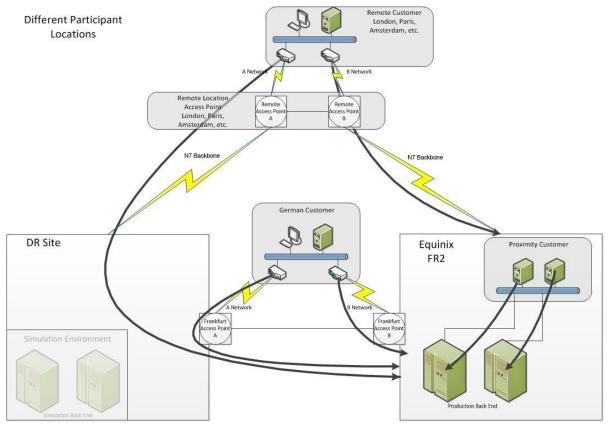


Figure 1, three location options for T7 connectivity

¹ Combined/iAccess is available in Hausen1 and Equinix, so it depends where the participant's tunnel is terminated as to whether he will still have connection. Tunnels in Equinix do not automatically move to Hau1.

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 3

Figure 2 displays the result of a DR scenario that renders the whole facility of Equinix data centre (FR2) inaccessible.

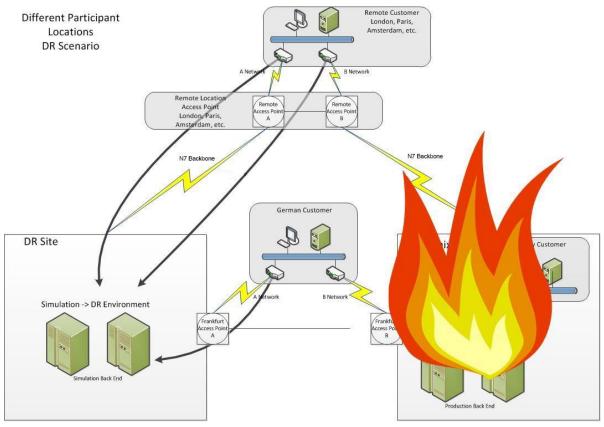


Figure 2, Disaster recovery scenario

In such a scenario customer installations, connecting to remote access points (i.e. London, Paris, Amsterdam, Chicago, etc.) will continue to use both leased lines connecting them to the local access point. The local access point continues to use backbone lines to Frankfurt, which are terminating in the DR data centre.

Customer installations connecting to the Frankfurt access point will be able to continue to use a single leased line connecting to the access point half located in the DR data centre.

Customer installations within the Equinix data centre (FR2) are considered to be non-functional in this DR scenario.

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 4

3 General considerations

In a disaster recovery scenario the T7 infrastructure regularly used for T7 simulation will be re-used to serve as disaster recovery production infrastructure.

The switch of the back ends and the transfer of reference data will not be instantaneous, but is expected to take up to four hours.

While most T7 interfaces will be available in the disaster recovery scenario a number of conceptual differences to regular production exist and have to be accounted for.

3.1 Functional

- Order books will be empty after switch to the DR environment.
- All keys and sequence numbers are reset and starting from "1" again.
- Trades of the current business day will not be transferred to T7 DR System but can still be inquired from the Clearing systems (Eurex: C7, Xetra: CCP).
- Limited number of partitions are running in the DR scenario
- A new RDF will be produced during DR start up and will be published by the DR back end (as well
 as further intraday updates) onto the Common Report Engine into the directory for environment 90
 (prod).

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 5
	Page

3.2 Configuration for DR

3.2.1 Same as Production

- User IDs, ETI and FIX sessions will be used from production.
- All TCP and UDP Ports will be the same as for normal production.
- GUI Java WebStart Server will be the same as for normal production.
- FIX Gateway A Side Subnet will be the same as for normal production.
- All A-Stream multicast groups will be the same as for normal production for the T7 broadcast interfaces:
 - Market Data Interface (MDI)
 - Enhanced Market Data Interface (EMDI)
 - Extended Market Data Service (EMDS)
 - o Market Signals (MS) derivatives market only
 - o Reference Data Interface (RDI)
- A-Stream Rendezvous Point (RP) will be the same as for normal production.
- A-Stream technical heartbeat will be the same as for normal production.
- CRE A-Side Subnet will be the same as for normal production.

3.2.2 Differ from Production

- ETI Trading Gateway and Partition Specific Gateway Subnets will differ from regular production!
- GUI Landing Pages and (Crypto) Proxy Servers will differ from regular production!
- Source IP addresses will differ from regular production for the T7 broadcast interfaces!
 - Market Data Interface (MDI)
 - Enhanced Market Data Interface (EMDI)
 - Extended Market Data Service (EMDS)
 - o Market Signals (MS) derivatives market only
 - o Reference Data Interface (RDI)

See chapter 4 for full network details.

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 6

4 Disaster recovery network details

Due to the nature of the distributed T7 architecture, different interfaces will be configured in varying ways.

T7 interfaces whose production infrastructure is solely located in the Equinix data centre FR2 will switch to the simulation infrastructure and need to be accessed via simulation network addresses (i.e. ETI gateways).

Other T7 interfaces whose production infrastructure is distributed across both data centres will be able to continue to use the existing/remaining production infrastructure in the DR data centre (i.e. FIX gateways, multicast addresses).

In some cases, further changes need to be done by Deutsche Börse Group for example, to re-balance the number of ETI PS gateways with the number of ETI LF gateways, according to different requirements by a DR scenario compared to regular simulation.

4.1 T7 network details derivatives markets

4.1.1 Eurex T7

The following tables summarize all available interface connection details in a disaster recovery scenario for the T7 derivatives market Eurex (XEUR).

Interface	Connection option	URL / IP addresses	IP subnets	Ports	Protocol
GUI Landingpage	Internet	http://webgui.eurexchange.com/emer	rgency/index.html	80	TCP/IP
	Lancad Co.	http://193.29.93.173/emergency/index.html		80 / 8089	
Lan	Leased line	http://webgui.vpn.eurexchange.com/emergency/fqdn.html			TCP/IP
Java WebStart	Internet	193.29.90.190	-	80 / 443	TCP/IP
Ja	Leased line	193.29.93.173	193.29.93.160/28	80 / 443	TCP/IP
SS	laka ak	193.29.90.235	102.00.00.004/07		T00#5
GUI (Crypto) Proxies	Internet	193.29.90.236	193.29.90.224/27	80	TCP/IP
GUI rypto)P	Leased line - side A	193.29.89.225	193.29.89.224/28	00 / 0000	TOD/ID
9	Leased line - side B	193.29.95.225	193.29.95.224/28	80 / 8089	TCP/IP
	Gateway type	IP adresses Side A	IP adresses Side B	Ports	Protocol
_	PS trading gateways	193.29.89.129 193.29.89.130	193.29.89.161 193.29.89.162	19043	TCP/IP
Eurex ETI	LF trading gateways	193.29.89.65 193.29.89.66 193.29.89.67 193.29.89.68	193.29.89.97 193.29.89.98 193.29.89.99 193.29.89.100	19006	TCP/IP
	Connection gateways	193.29.89.65	193.29.89.97	19008	TCP/IP

Table 1, Eurex T7 market network details in DR scenario, part 1/3

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 7

FIX	Connection option	IP addresses	IP subnets	Ports	Protocol
Eurex FIX Gateway	Leased line - side A	90.150.253.31	90.150.253.0 / 24	Individually assigned	TCP/IP
s st	Description	Rendezvous points	Ports		
All Eurex T7 broadcast interfaces	Rendezvous points Service A only	193.29.91.252/32	-		
All E bro inte	Technical heartbeat Service A only	-	59086		
	OCIVICE A UNITY		Ports		
=	Description	Multicast groups Service A	US-allowed products	US-restricted pr	oducts
Eurex MDI	Multicast groups	224.0.50.64-65 224.0.50.67-74 224.0.29.72-76	59000	59032	!
_	Source networks	193.29.89.192/28	-		
			Ports		
	Description	Multicast groups Service A	US-allowed products	US-restricted pr	oducts
Eurex EMDI	Multicast groups	224.0.50.2-9 224.0.50.12-63 224.0.29.2 - 55	Snapshot: 59000 Incremental: 59001	Snapshot: 5 Incremental:	
ш	Source networks	193.29.89.0/27	-		
			Ports		
10	Description	Multicast groups Service A	US-allowed products	US-restricted pr	oducts
ignals	Reference Data	224.0.114.1	59000	-	
Eurex Market Signals	Eurex IOC liquidity Indicator for Options	224.0.114.128	59001	59033	1
X Ma	Intraday Volatility Forecast	224.0.114.132	59001	59033	1
Eure	Risk Alerts	224.0.114.134	59001	59033	
	Source networks	193.29.89.0/27	-		
			Ports		
kat Data S)	Description	Multicast groups Service A	US-allowed products	US-restricted pr	oducts
arkat DS)	Ticker Feed	224.0.50.75	59000	59032	!
ed Mi	Settlement prices	224.0.50.77	Replay: 59001	Replay: 59	
xtend ervice	Intraday open interest data	224.0.50.78	Nopidy. 03001	rtopiay. 03	000
Eurex Extended Marka Service (EMDS)	Eurex T7 trades	224.0.50.79	Replay only: 59001	Replay only:	59033
Ευ	Source networks	193.29.89.192/28	-		
_	Description	Mutlicast groups service A	Ports		
Eurex RDI	Multicast groups	224.0.50.0	Snapshot: 5	9098	
Eure	Multicast groups	224.0.50.1	Incremental:	59099	
	Source networks	193.29.89.192/28	-		

Table 2, Eurex T7 market network details in DR scenario, part 2/3

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 8

Report	Connection option	Gateway IP address	IP subnets	Ports Public	Particip.
Common Re Engine	Internet	193.29.90.132	-	0001	2222
Com	Leased line - side A	193.29.90.67	193.29.90.64/27	2221	2222
EOBI	Description				
Eurex	Currently not available				

Table 3, Eurex T7 market network details in DR scenario, part 3/3

4.1.2 EEX T7

The European Energy Exchange (EEX) market running on T7 shares infrastructure with Eurex T7. Therefore, IP addresses for GUI servers, ETI and FIX gateways will be the same as for Eurex T7.

EEX multicast addresses differ from Eurex T7, but follow the same logic (only A-side, source network from simulation)

Interface	Connection option	URL / IP addresses		Ports	Protocol
age	Internet	http://webgui.eurexchange.com/emergency/eex/index.html		80	TCP/IP
GUI Landingpage	Leased line http://193.29.93.173/emergency/eex/index.html http://webgui.vpn.eurexchange.com/emergency/eex/fqdn.html		80 / 8089	TCP/IP	
Java WebStart	Internet	193.29.90.190	-	80 / 443	TCP/IP
Ja Web	Leased line	193.29.93.173	193.29.93.160/28	80 / 443	TCP/IP
SS	l mto most	193.29.90.235	102.20.00.224/27	00	TCP/IP
GUI (Crypto) Proxies	Internet 193.29.90.236	193.29.90.224/27	80	TOF/IF	
GUI rypto) P	Leased line - side A	193.29.89.225	193.29.89.224/28	80 / 8089	TCP/IP
9	Leased line - side B	193.29.95.225	193.29.95.224/28		
	Gateway type	IP adresses Side A	IP adresses Side B	Ports	Protocol
EEX ETI	LF trading gateways	193.29.89.65 193.29.89.66 193.29.89.67 193.29.89.68	193.29.89.97 193.29.89.98 193.29.89.99 193.29.89.100	19006	TCP/IP
	Connection gateways	193.29.89.65	193.29.89.97	19008	TCP/IP
EEX FIX Gateway	Connection option	IP addresses	IP subnets	Ports	Protocol
EEX FIX Gateway	Leased line - side A	90.150.253.31	90.150.253.0 / 24	Individually assigned	TCP/IP

Table 4, EEX T7 market network details in DR scenario, part 1/2

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 9

T7	Description	Rendezvous points	Ports	
All Eurex T7 broadcast interfaces	Rendezvous points Service A only	193.29.91.252/32	-	
A d ri	Technical heartbeat Service A only	-	59086	
			Ports	
_	Description	Multicast groups Service A	US-allowed products	US-restricted products
EEX MDI	Multicast groups	224.0.50.66	59000	59032
Ш	Source networks	193.29.89.192/28	-	-
			Dorto	
EEX EMDI	Description	Multicast groups Service A	Ports US-allowed products US-restricted pro	
	Multicast groups	224.0.50.10 224.0.50.11	Snapshot: 59000 Incremental: 59001	Snapshot: 59032 Incremental: 59033
Ш	Source networks	193.29.89.0/27	-	
	Description	Mutlicast groups service A	Ports	
RDI	Multicast groups	224.0.29.0	Snapshot: 59	9098
EEX	Multicast groups	224.0.29.1	Incremental: 5	59099
	Source networks	193.29.89.192/28	-	
Common Report Engine	Connection option	Gateway IP address	IP subnets	Ports Public Particip.
mon Re Engine	Internet	193.29.90.132	-	2221 2222
Com	Leased line - side A	193.29.90.67	193.29.90.64/27	2221 2222

Table 5, EEX T7 market network details in DR scenario, part 2/2

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 10

4.2 T7 network details cash market

4.2.1 Xetra T7

The following tables summarize all available interface connection details in a disaster recovery scenario for Xetra T7.

Interface	Connection option	URL / IP addresses		Ports	Protocol
age	Internet	http://webgui.xetra.com/emergency/i	http://webgui.xetra.com/emergency/index.html		TCP/IP
GUI Landingpage	Leased line	http://193.29.93.174/emergency/in	dex.html	80	TCP/IP
	Leaseu IIIIe	http:// webgui.vpn.xetra.com/emerge	ncy/fqdn.html	80	101711
Java WebStart	Internet	193.29.90.189	-	80 / 443	TCP/IP
Ja Web	Leased line	193.29.93.174	-	80 / 443	TCP/IP
oxies	Internet	193.29.90.233	193.29.90.224/27	80	TCP/IP
oto) Pro	memet	193.29.90.234	133.23.30.224/27	00	101711
GUI (Crypto) Proxies	Leased line - side A	193.29.94.225	193.29.94.224/29	80 / 8089	TCP/IP
GUI	Leased line - side B	193.29.94.233	193.29.94.232/29	00 / 0003	101711
	Gateway type	IP subnets Side A	IP subnets Side B	Ports	Protocol
=				19043	
Xetra ETI	PS trading gateways	193.29.94.129	193.29.94.161	19045	TCP/IP
×	LF trading gateways	193.29.94.65	193.29.94.97	19006	TCP/IP
	Connection gateways	193.29.94.65	193.29.94.97	19008	TCP/IP
⁻IX way	Connection option	IP addresses	IP subnets	Ports	Protocol
Xetra FIX Gateway	Leased line - side A	90.152.253.41	90.152.253.0/24	Individually assigned	TCP/IP
V	Description	Rendezvous points		Ports	
All Xetra T7 broadcast interfaces	Rendezvous points	185.102.253.252			
All X ₆ broa inte	Service A only Technical heartbeat			59086	
	Service A only				
ΙQ	Description	Multicast groups Service A		Ports	
Xetra MDI	Multicast groups	224.0.161.16 - 30		59000	
×	Source networks	193.29.94.192/28		-	

Table 6, Cash market network details in DR scenario, part 1/2

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 11

Xetra EMDI	Description	Multicast groups Service A	Ports		
	Multicast groups	224.0.160.0 - 63	Snapshot: 59 Incremental: 5		
	Source networks	193.29.94.0/27	-		
9	Description	Multicast groups Service A	Ports		
ded Servi			Forts		
ra Extenc at Data S (EMDS)	All Trade Prices (ATP)	224.0.161.64	59000		
Xetra Extended Markat Data Service (EMDS)	Ticker feed	224.0.161.31	Replay: 590	001	
Xe Mark	Source networks	193.29.94.192/28	-		
	Description	Mutlicast groups service A	Ports		
Xetra RDI	224.0.161.0 Multicast groups		Snapshot: 59098		
Xetra	municast groups	224.0.161.0	Incremental: 59099		
	Source networks	193.29.94.192/28	-		
-				Dombo	
e	Connection option	Gateway IP address	IP subnets	Ports Public	Particip.
Common Report Engine	Internet	193.29.90.132	-	2221	2222
Comr	Leased line - side A	193.29.90.67	193.29.90.64/27	2221	2222
Xetra	Description				
× EC	currently not available	-	-		
_					
EOB		Descr	iption		
Xetra EOBI		Currently n	ot available		

Table 7, Cash market network details in DR scenario, part 2/2

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 12

4.2.2 Xetra Vienna T7

The following tables summarize all available interface connection details in a disaster recovery scenario for Xetra Vienna T7

Interface	Connection option	URL / IP addresses		Ports	Protocol
age	Internet	http://webgui.xetra.com/emergency/x	vie/index.html	80	TCP/IP
GUI Landingpage	Leased line	http://193.29.93.174/emergency/xvie/index.html		80	TODUD
Lan	Leased line	http://webgui.vpn.xetra.com/emergen	cy/xvie/fqdn.html	80	TCP/IP
Java WebStart	Internet	193.29.90.189	-	80 / 443	TCP/IP
Ja	Leased line	193.29.93.174	-	80 / 443	TCP/IP
oxies	Internet	193.29.90.233	193.29.90.224/27	80	TCP/IP
to) Pro	internet	193.29.90.234	193.29.90.224/27	00	TCF/IF
GUI (Crypto) Proxies	Leased line - side A	193.29.94.225	193.29.94.224/29	80 / 8089	TCP/IP
GUI	Leased line - side B	193.29.94.233	193.29.94.232/29	60 / 6069	TGF/IF
Б	Gateway type	IP subnets Side A	IP subnets Side B	Ports	Protocol
Xetra E	Trading gateways (LF only)	193.29.94.65	193.29.94.97	19006	TCP/IP
×	Connection gateways	193.29.94.65	193.29.94.97	19008	TCP/IP
ay a	Connection option	IP addresses	IP subnets	Ports	Protocol
Xetra FIX Gateway	Leased line - side A	90.152.253.41	90.152.253.0/24	Individually assigned	TCP/IP
	Description	Rendezvous points	Ports		
All Xetra T7 broadcast interfaces	Rendezvous points Service A only	185.102.253.252	-		
All X ₆ brog inte	Technical heartbeat Service A only	-	59086	5	
	Description	Multicast groups Service A	Ports		
Xetra MDI	Multicast groups	224.0.161.32 - 38	59000		
Xetra	Source networks	193.29.94.192/28	-		

Table 8, Vienna cash market network details in DR scenario, part 1/2

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 13

	Description	Multicast groups Service A	Ports		
Xetra EMDI	Multicast groups	224.0.160.64 - 95	Snapshot: 59000 Incremental: 59001		
	Source networks	193.29.94.0/27	-		
_	Description	Multicast groups Service A	Ports		
Xetra Extended Markat Data Service (EMDS)	All Trade Prices (ATP)	224.0.161.68	59000 Replay: 59001		
	Ticker feed	224.0.161.39			
Xetı M Serv	Source networks	193.29.94.192/28	-		
	Description	Mutlicast groups service A	Ports		
RDI	Multicast groups	224.0.161.1	Snapshot: 59098		
Xetra RDI	Wullicast gloups	224.0.161.1	Incremental: 59099		
	Source networks	193.29.94.192/28	-		
				Ports	
Common Report Engine	Connection option	Gateway IP address	IP subnets	Public	Particip.
Common port Engi	Internet	193.29.90.132	-		
Cc Repo	Leased line - side A	193.29.90.67	193.29.90.64/27	2221	2222
EOBI	Description				
Xetra E	Currently not available				

Table 9, Vienna cash market network details in DR scenario, part 2/2

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 14

4.2.3 Xetra Dublin T7

The following tables summarize all available interface connection details in a disaster recovery scenario for Xetra Dublin T7

Interface	Connection option	URL / IP addresses		Ports	Protocol
GUI Landingpage	Internet	http://webgui.xetra.com/emergency/xdub/index.html		80	TCP/IP
		http://193.29.93.174/emergency/xdub/index.html		00	TCP/IP
	Leased line	http://webgui.vpn.xetra.com/emergency/xdub/fqdn.html		80	
Java WebStart	Internet	193.29.90.189	-	80 / 443	TCP/IP
	Leased line	193.29.93.174	-	80 / 443	TCP/IP
xies		193.29.90.233		00	TCP/IP
to) Pro	Internet	193.29.90.234	193.29.90.224/27	80	
GUI (Crypto) Proxies	Leased line - side A	193.29.94.225	193.29.94.224/29	00 / 0000	TOD#D
GUI	Leased line - side B	193.29.94.233	193.29.94.232/29	80 / 8089	TCP/IP
<u> </u>	Gateway type	IP subnets Side A	IP subnets Side B	Ports	Protocol
Xetra E	Trading gateways (LF only)	193.29.94.65	193.29.94.97	19006	TCP/IP
×	Connection gateways	193.29.94.65	193.29.94.97	19008	TCP/IP
ılX /ay	Connection option	IP addresses	IP subnets	Ports	Protocol
Xetra FIX Gateway	Leased line - side A	90.152.253.41	90.152.253.0/24	Individually assigned	TCP/IP
s t 7	Description	Rendezvous points	P	orts	
All Xetra T7 broadcast interfaces	Rendezvous points Service A only	185.102.253.252		-	
	Technical heartbeat Service A only	-	59	9086	
Xetra MDI	Description	Multicast groups Service A	Р	orts	
	Multicast groups	224.0.161.40 - 46	59	0000	
	Source networks	193.29.94.192/28		-	

Table 10, Dublin cash market network details in DR scenario, part 1/2

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 15

	Description	Multicast groups Service A	Ports		
Xetra EMDI	Multicast groups 224.0.160.96 - 103		Snapshot: 59000		
		Incremental: 5	Incremental: 59001		
	Source networks	193.29.94.0/27	_		
	Course networks	190.29.9 (10/2)			
	Description	Multicast groups Service A	Ports		
Xetra Extended Markat Data Service (EMDS)	All Trade Prices (ATP)	224.0.161.72	59000 Replay: 59001		
	Ticker feed	224.0.161.47			
Xetr Mi Serv	Source networks	193.29.94.192/28	-		
	Description	Mutlicast groups service A	Ports		
RDI	Multicast groups	224.0.161.2	Snapshot: 59098		
Xetra RDI	<u> </u>	224.0.161.2	Incremental: 59099		
	Source networks	193.29.94.192/28	-		
				D. d	
Common Report Engine	Connection option	Gateway IP address	IP subnets	Port: Public	s Particip.
	Internet	193.29.90.132	-		
Cc Repo	Leased line - side A	193.29.90.67	193.29.90.64/27	2221	2222
EOBI	Description				
Xetra E	Currently not available				

Table 11, Dublin cash market network details in DR scenario, part 2/2

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 16

5 Disaster recovery test scope

Disaster recovery test exercises will be performed once a year on a weekend (usually Saturday). DR test exercises haven been aligned with the yearly FIA business continuity test (see https://bcp.fia.org). Participation in the DR test exercise is optional but highly recommended for all trading and clearing participants to ensure easy transition in case of a real disaster.

During a DR test exercise, production reference data will be used, including User IDs, T7 GUI SSH keys and ETI sessions. Changes done to these reference data will not be copied back to production after the test. It is not advised to perform any changes to this data during the test exercise.

Any order book or trading information created during the DR test exercise will <u>not</u> be transferred back to production.

The scope of DR test exercises is as follows:

The following T7 interfaces will be available during the DR test exercise

- Enhanced Transaction Solution (ETI)
- T7 Market Data Service (MDI)
- T7 Enhanced Market Data Service (EMDI)
- T7 GUI
- Reference Data Interface (RDI)
- Reference Data File (RDF)
- Common Report Engine (CRE)

Customers participating in the DR test exercise can

- receive market data via MDI, EMDI and T7 GUI
- read reference data via RDI
- receive Reference Data File (RDF) provided by CTS on request
- enter orders and quotes via ETI and T7 GUI
- access CRE

The following T7 interfaces will <u>not</u> be available during the DR test <u>exercise</u>

- FIX Gateway
- Enhanced Order Book Interface
- Extended Market Data Service
- Market Signals (MS)

The Xetra classic trading system and the Clearing systems C7 and CCP are not participating in the DR test exercise. No data generated during a DR test exercise is forwarded to any Clearing system.

Gruppe Deutsche Börse	Deutsche Börse AG
T7 Disaster Recovery Concept	4.0
Interface Configuration Details	10 October 2018
	Page 17

6 Change log

The change log describes on a higher level, what changed in the latest version of the document over older versions.

No	Chapter, page	Date	Change
1.0.0		27 Sept 2013	Initial version the Eurex Exchange's T7 Disaster Recovery Concept
2.0.0	All	25 July 2016	Added EOBI, EMDS and Eurex Market Signals
3.1.1	All	31 August 2017	Change to common document including T7 cash markets and EEX
4.0	All	29 August 2018	Adhere to T7 Release 6.1 (e.g. Partition Specific Gateway, etc), added Introduction